

Email: foి@fca.org.uk

(By email)

04 October 2018

Our Ref: FOI6006

Dear

Freedom of Information: Right to know request

Thank you for your request under the Freedom of Information Act 2000 ("the Act") dated 6 September 2018, for the following information:

"The number of "prohibition orders" issued by the FCA (as detailed here: <https://www.handbook.fca.org.uk/handbook/glossary/G917.html>)

I would like the data for the most recent five years, broken down by quarter, with the most recent quarter-end date possible.

If it is not possible to break the data down by quarter for the last five years, please tell me the number of prohibition orders issued by the FCA for the calendar year 2017."

Your request has now been considered, and I can confirm that we hold the requested information. This can be found below.

Please note, we have calculated 5 years starting from the financial year 2013-14 to Q2 2018-19. A total of **128** Prohibitions Orders have been issued by the FCA in this period.

In 2013-14 – **26** prohibitions in total were issued. (please see below, the number broken down by quarter)

Q1- 5
Q2- 4
Q3- 8
Q4- 9

In 2014-15 – **26** prohibitions in total were issued. (please see below, the number broken down by quarter)

Q1- 4
Q2- 4
Q3- 8
Q4- 10

In 2015-16 – **24** prohibitions in total were issued. (please see below, the number broken down by quarter)

Q1- 3
Q2- 7
Q3- 7
Q4- 7

In 2016-17 – **23** prohibitions in total were issued. (please see below, the number broken down by quarter)

Q1- 7
Q2- 4
Q3- 11
Q4- 1

In 2017-18 – **19** prohibitions in total were issued. (please see below, the number broken down by quarter)

Q1- 3
Q2- 3
Q3- 7
Q4- 6

In 2018-19 Q1 to Q2 (up to 20/09/2018) – **10** prohibitions in total were issued. (please see below, the number broken down by quarter)

Q1 – 2
Q2 (to 20 September 2018) - 8

Further information on the prohibition orders issued can be found [here](#).

Yours sincerely

Information Disclosure Team

If you are unhappy with the decision made in relation to your request, you have the right to request an internal review. If you wish to exercise this right you should contact us within three months of the date of this response.

If you are not content with the outcome of the internal review, you also have a right of appeal to the Information Commissioner at Information Commissioner's Office, Wycliffe House, Water Lane, Wilmslow, Cheshire SK9 5AF. Telephone: 01625 545 700. Website: www.ico.org.uk