

Email: foifca.org.uk

[By Email]

05 September 2018

Our Ref: FOI5896

Dear

Freedom of Information: Right to know request

Thank you for your request under the Freedom of Information Act 2000 (the Act), for the following information:

"Under the freedom of information act, I would like some information on overseas-based companies applying for FCA authorisation.

- *For each of the last five years (2017/18, 16/17, 15/16, 14/15, 13/14), how many firms/companies applied for FCA authorisation?*
 - *Of these, and for each year, how many of these were 'headquartered' or 'based' (or whichever phrase you use) outside of the UK?*
 - *For this, please separate it out by country.*
 - *I.e. in 2017/18 there were 100 companies who applied for FCA authorisation. Of these, 75 were 'headquartered' in the UK, 10 were 'headquartered' in the USA, 3 in Spain, etc.*

For the annual breakdown, please use a year end date of March 31st 2018. If this is not possible, please use a year end date of 31st December 2017."

Apologies for the delay in responding to you. Your request has now been considered and we note that the information requested is only available from financial year 2014 to 2018 and is detailed in the table below.

Count of Headquarter	FY 2014/15	FY 2015/16	FY 2016/17	FY 2017/18	FY 2018/19	Grand Total
UNITED KINGDOM	14342	12795	3734	3308	1289	35468
IRELAND	5	9	4	1		19
UNITED STATES OF AMERICA	3	13	2			18
GERMANY	11	2				13
ISLE OF MAN	2	9				11
INDIA	2	6	1			9
CHANNEL ISLANDS	1	4				5
JERSEY		5				5
ESTONIA		2			1	3

GUERNSEY		2			1	3
GIBRALTAR		1	1	1		3
UNITED STATES MINOR OUTLYING ISLANDS	1	1				2
NETHERLANDS	1	1				2
FRANCE		1				1
AUSTRALIA		1				1
SWITZERLAND	1					1
VIRGIN ISLANDS (BRITISH)	1					1
LUXEMBOURG			1			1
JAPAN		1				1
MALTA		1				1
SPAIN		1				1
PHILIPPINES		1				1
Grand Total	14370	12856	3743	3310	1291	35570

Please note we have interpreted 'Headquarter' as:

- Registered Office
- Head Office
- Principal Place of Business

You may also wish to note that the higher number of applications received in 2014/15 and 2015/16 are due to the FCA taking on responsibility for regulating consumer credit from the Office of Fair Trading.

Yours sincerely

Information Disclosure Team