

Email: foి@fca.org.uk

[By Email]

16 July 2018

Our Ref: **FOI5842**

Dear

Freedom of Information : Right to know request

Thank you for your request under the Freedom of Information Act 2000 (the Act), for the following information:

"In Nov 2017 you provided an answer to the following question below which was listed as request FOI5409 - please can you send me an updated to list to May 2018 of the same request.

Please provide me with a list of all authorised fund manager (AFMs)."

The request for FOI5409:

- *"Please provide me with a list of all authorised fund manager (AFMs).*
- *If the list is different please also provide a separate list of all firms 'Managing a UCITS' and /or 'Managing an authorised AIF'."*

Your request has now been considered and the information you have requested can be found attached.

We have understood your request for all authorised fund managers to mean firms that hold one or more of the following permissions:

- Managing Investments
- Managing an unauthorised AIF
- Managing an authorised AIF; and
- Managing a UCITS.

We have therefore provided a list of all AFMs, all firms 'Managing a UCITS' and all firms 'Managing an authorised AIF'.

Please note, this information is as at 06 July 2018.

Yours sincerely

Information Disclosure Team

Your right to complain under the Act

If you are unhappy with the decision made in relation to your request, you have the right to request an internal review. If you wish to exercise this right you should contact us within three months of the date of this response.

If you are not content with the outcome of the internal review, you also have a right of appeal to the Information Commissioner at Information Commissioner's Office, Wycliffe House, Water Lane, Wilmslow, Cheshire SK9 5AF. Telephone: 01625 545 700. Website: www.ico.org.uk