

Email: foi@fca.org.uk

10 July 2018

(By email)

Our Ref: FOI5819

Dear

Freedom of Information: Right to know request

Thank you for your request under the Freedom of Information Act 2000 ("the Act"), dated 12 July 2018, for the following information:

"How many financial advisers have the required permissions to conduct DB transfers? Please list this figure as at the end of each month from January 2018 to June 2018.

In June 2015 the FCA issued Policy Statement PS15/12 to clarify that the Advising on pension transfers and pension opt outs regulated activity could be restricted by way of a Limitation, allowing firms to carry out certain activities that would not need involvement of a pension transfer specialist. A significant number of firms requested this limited version of the activity.

For the purposes of my request I require details of firms with the full, unrestricted activity, not the limited version referred to above."

Your request has now been considered and I can confirm that we hold the information you are seeking, as set out in the table below.

Month	Number of firms with permission to "Advise on Pension Transfers and Pension Opt Outs" (no limitation)
January 2018	4601
February 2018	4633
March 2018	4656
April 2018	4695
May 2018	4725
June 2018	4748

Yours sincerely

Information Disclosure Team



Your right to complain under the FoI Act

If you are unhappy with the decision made in relation to your request, you have the right to request an internal review. If you wish to exercise this right you should contact us within three months of the date of this response.

If you are not content with the outcome of the internal review, you also have a right of appeal to the Information Commissioner at Information Commissioner's Office, Wycliffe House, Water Lane, Wilmslow, Cheshire SK9 5AF. Telephone: 01625 545 700. Website: www.ico.org.uk