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(By email)

11 June 2018

Our Ref: FOI5800

Dear

**Freedom of Information: Right to know request**

Thank you for your request under the Freedom of Information Act 2000 (the Act) dated 22 May 2018 for the following information:

*"Under the Freedom of Information Act request, I would like to know how many whistleblowing reports the FCA received over the past five years. I would like this information broken down by year, with the year end March 31<sup>st</sup>."*

Your request has now been considered and the relevant information is in table A below.

<b>Quarter &amp; FY</b>	<b>No. of Whistleblowing Disclosures</b>
Q1 13/14	161
Q2 13/14	320
Q3 13/14	262
Q4 13/14	323
Total	1066
Q1 14/15	346
Q2 14/15	364
Q3 14/15	327
Q4 14/15	302
Total	1339
Q1 15/16	317
Q2 15/16	256
Q3 15/16	230
Q4 15/16	218
Total	1021
Q1 16/17	238
Q2 16/17	208
Q3 16/17	202
Q4 16/17	265
Total	913
Q1 17/18	233
Q2 17/18	295
Q3 17/18	254
Q4 17/18	324
Total	1106

Please note that in September 2013 we changed the case management system we used to record whistleblowing disclosures. Prior to September 2013 the system we used did not support the recording of data in a consistent and easily retrievable format. Furthermore, we ran both systems in tandem for short period to ensure the new system was working as required. Therefore, whilst the figures for Q1 and Q2 2013 are as accurate as we can possibly get them, it may be that the figures do not reflect the actual number of whistleblowing disclosures we received in 2013.

Yours sincerely,

**Information Disclosure Team**



Your right to complain under the FoI Act

If you are unhappy with the decision made in relation to your request, you have the right to request an internal review. If you wish to exercise this right you should contact us within three months of the date of this response.

If you are not content with the outcome of the internal review, you also have a right of appeal to the Information Commissioner at Information Commissioner's Office, Wycliffe House, Water Lane, Wilmslow, Cheshire SK9 5AF. Telephone: 01625 545 700. Website: [www.ico.org.uk](http://www.ico.org.uk)