

Telephone: 020 7066 8080  
Email: foi@fca.org.uk

(By email)

20 April 2018

Our Ref: FOI5707

Dear

**Freedom of Information : Right to know request**

We refer to your request under the Freedom of Information Act 2000 ("the Act"), for the following information:

1. *How many CASS audit opinions were received by the Financial Conduct Authority between 1st November 2017 and 31st December 2017?*
2. *How many CASS audit opinions received by the Financial Conduct Authority between 1st November 2017 and 31st December 2017 were qualified?*
3. *How many CASS audit opinions received by the Financial Conduct Authority between 1st November 2017 and 31st December 2017 were adverse?*
4. *How many CASS audit opinions received by the Financial Conduct Authority between 1st November 2017 and 31st December 2017 were clean?"*

Your request has now been considered and the relevant information is provided below.

<b>CASS audit opinion</b>	<b>Total</b>
Qualified	33
Adverse	15
Clean	75
<b>Grand Total</b>	<b>123</b>

Yours sincerely

Information Disclosure Team  
Financial Conduct Authority

Your right to complain under the FoI Act

If you are unhappy with the decision made in relation to your request, you have the right to request an internal review. If you wish to exercise this right you should contact us within three months of the date of this response.

If you are not content with the outcome of the internal review, you also have a right of appeal to the Information Commissioner at Information Commissioner's Office, Wycliffe House, Water Lane, Wilmslow, Cheshire SK9 5AF. Telephone: 01625 545 700. Website: [www.ico.org.uk](http://www.ico.org.uk)