

Via Email

25 April 2018

Our Ref: FOI5689

Dear

Freedom of Information: Right to know request

I refer to your request for information under the Freedom of Information Act 2000 ("the Act"), for the following information in relation to the Financial Services Register.

1. *'According to the data held on the Financial Services Register on the 1st of January 2010, how many regulated firms were there at that date that had the permission to advise on pension transfers/opt-outs ie the permission required to conduct Defined Benefit transfers?'*
2. *'According to the data held on the Financial Services Register on the 1st of January 2013, how many regulated firms were there at that date that had the permission to advise on pension transfers/opt-outs i.e. the permission required to conduct Defined Benefit transfers?'*

Your request has been considered and our response is below.

Point 1: As of 1st of January 2010 there were 2,800 regulated firms that had the permission to advise on pension transfers/opt-outs i.e. the permission required to conduct Defined Benefit transfers.

Point 2: As of the 1st of January 2013 there were 2,818 regulated firms that had the permission to advise on pension transfers/opt-outs i.e. the permission required to conduct Defined Benefit transfers.

Yours sincerely

Information Disclosure Team
Financial Conduct Authority