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[By Email]

03 April 2018

Our Ref: **FOI5596**

Dear

Freedom of Information : Right to know request

Thank you for your request under the Freedom of Information Act 2000 (the Act), for the following information:

"Please can you tell me the gender split for each controlled function by industry - e.g. Male to female split in asset management, banking etc for as many discrete years as you can."

Your request was clarified on 02 March 2018.

"1) Whether you would like FCA controlled functions and SMR /SIMF functions?

Please can we have both FCA Controlled functions and AMR / SIMF functions.

2) Further, can you please specify the exact years that you require the data for?

Please can you provide the information for 2007, 2008, 2009, 2010, 2011, 2012, 2013, 2014, 2015, 2016, 2017"

Your request has now been considered and I can confirm we hold some of the information you have requested.

The figures show the number by gender performing regulatory functions (APER / SMR / SIMF) under each of the 7 sectors. Where an individual may hold more than one regulatory function in a sector they have been counted once per sector. However, where a firm operates in more than one sector Individuals in this scenario will be counted once in each sector. – e.g Individual A holds 3 functions for Firm B, Firm B operates in only one sector therefore the individual will be counted once in that sector. Individual C holds 3 functions for Firm D which operates in 4 sectors therefore the individual will be counted once in each sector.

We are unable to provide regulatory function data per sector before 2016 as the 7 sector views were implemented in 2016.

The following data outlines the total number of regulatory functions held by gender across each sector as per the search requirements of Mr, Mrs, Miss, Ms for the year end 31 December 2016 and 31 December 2017:

<u>2016</u>	Male	Female
Retail Banking	617	119
Retail Lending	24185	5420
General Insurance & Protection	15161	3591
Pensions & Retirement Income	1173	256
Retail Investments	26382	5530
Investment Management	27400	5072
Wholesale Financial Markets	21964	3007

<u>2017</u>	Male	Female
Retail Banking	656	136
Retail Lending	26994	5941
General Insurance & Protection	26132	5030
Pensions & Retirement Income	1195	265
Retail Investments	26938	5883
Investment Management	27957	5294
Wholesale Financial Markets	15567	2405

Yours sincerely

Information Disclosure Team

Your right to complain under the FoI Act

If you are unhappy with the decision made in relation to your request, you have the right to request an internal review. If you wish to exercise this right you should contact us within three months of the date of this response.

If you are not content with the outcome of the internal review, you also have a right of appeal to the Information Commissioner at Information Commissioner's Office, Wycliffe House, Water Lane, Wilmslow, Cheshire SK9 5AF. Telephone: 01625 545 700. Website: www.ico.org.uk