Telephone: 020 7066 8080 Email: foi@fca.org.uk

[By email]

1 February 2018

Our Ref: FOI5530

Dear

## Freedom of Information : Right to know request

Thank you for your request under the Freedom of Information Act 2000 (the Act).

You request has been considered and we have provided an answer under each question.

## Q1) How many firms currently have permission to advise on regulated mortgage contracts?

We have interpreted "Firms" to mean directly authorised firms.

As at 10 January 2018, there are currently 5,210 directly authorised firms authorised to advise on regulated mortgage contracts.

## Q2) How many individuals are attached to those firms?

We have interpreted "Individuals" to mean approved persons.

As at 10 January 2018, there are currently 34,105 approved persons attached to these firms.

## Q3) From the figures above can you provide the number of those which are appointed representatives vs directly authorised?

As at 10 January 2018, there are currently 14,169 appointed representatives versus 5,210 directly authorised firms.

Yours sincerely

Information Disclosure Team

Your right to complain under the Fol Act

If you are unhappy with the decision made in relation to your request, you have the right to request an internal review. If you wish to exercise this right you should contact us within three months of the date of this response.

If you are not content with the outcome of the internal review, you also have a right of appeal to the Information Commissioner at Information Commissioner's Office, Wycliffe House, Water Lane, Wilmslow, Cheshire SK9 5AF. Telephone: 01625 545 700. Website: <a href="http://www.ico.org.uk">www.ico.org.uk</a>