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(By email)

16 November 2017

Our Ref: FOI5414

Dear

**Freedom of Information : Right to know request**

We refer to your request under the Freedom of Information Act 2000 ("the Act"), for the following information:

*"MAR (market abuse regulation) came into force in July 2016.*

*Can you please tell me:*

- 1. How many MAR related investigations have you opened so far in 2017.*
- 2. How many spoofing related investigations have you opened so far in 2017.*
- 3. How many MAR related investigations have you closed so far in 2017.*
- 4. How many spoofing related investigations have you closed so far in 2017."*

Your request has now been considered and the information is provided in the table below.

1. Number of MAR related investigations opened so far in 2017	83
2. Number of spoofing related investigations opened so far in 2017	9
3. Number of MAR related investigations closed so far in 2017	26 *
4. Number of spoofing related investigations closed so far in 2017	0

*\* Some of these were opened in 2016.*

Please note that the number of spoofing investigations refers only to investigations where the spoofing may be in breach of MAR.

Yours sincerely

Information Disclosure Team  
Financial Conduct Authority

Your right to complain under the FoI Act

If you are unhappy with the decision made in relation to your request, you have the right to request an internal review. If you wish to exercise this right you should contact us within three months of the date of this response.

If you are not content with the outcome of the internal review, you also have a right of appeal to the Information Commissioner at Information Commissioner's Office, Wycliffe House, Water Lane, Wilmslow, Cheshire SK9 5AF. Telephone: 01625 545 700. Website: [www.ico.org.uk](http://www.ico.org.uk)