Telephone: 020 7066 8080 Email: foi@fca.org.uk

November 2017

Our Ref: **FOI5409**

Dear

Freedom of Information: Right to know request

Thank you for your request under the Freedom of Information Act 2000 (the Act), for the following information:

- "Please provide me with a list of all authorised fund manager (AFMs).
- If the list is different please also provide a separate list of all firms 'Managing a UCITS' and /or 'Managing an authorised AIF'."

Your request has now been considered and the information you have requested can be found attached.

We have understood your request for all authorised fund managers to mean firms that hold one or more of the following permissions:

- Managing Investments
- · Managing an unauthorised AIF
- Managing an authorised AIF; and
- Managing a UCITS.

We have therefore provided all AFMs in one of the attached lists. To be helpful, with have also provided the list of all firms 'Managing a UCITS' and all firms 'Managing an authorised AIF' separately.

Yours sincerely

Information Disclosure Team

Your right to complain under the Act

If you are unhappy with the decision made in relation to your request, you have the right to request an internal review. If you wish to exercise this right you should contact us within three months of the date of this response.

If you are not content with the outcome of the internal review, you also have a right of appeal to the Information Commissioner at Information Commissioner's Office, Wycliffe House, Water Lane, Wilmslow, Cheshire SK9 5AF. Telephone: 01625 545 700. Website: www.ico.org.uk