

Telephone: 0207 066 8080  
Email: [foi@fca.org.uk](mailto:foi@fca.org.uk)

7 November 2017

(By email)

Our Ref: FOI5372

Dear

**Freedom of Information: Right to know request**

Thank you for your request under the Freedom of Information Act 2000 ("the Act") dated 10 October 2017, for the following information:

*"The number of "prohibition orders" issued by the FCA (as detailed here: <https://www.handbook.fca.org.uk/handbook/glossary/G917.html>)*

*I would like the data for the most recent five years, broken down by quarter, with the most recent quarter-end date possible."*

Your request has now been considered, and I can confirm that we hold the requested information, which is shown in Table A below.

Please note that in answering your request we have taken into account the five years comprised between financial year 2013-14 and quarter three of the current financial year, 2017-18 (up to the cut-off date of 18 October 2017).

**Table A**

A total of 108 Prohibitions Orders have been issued by the FCA from 2013-14 to date, broken down as follows:

	<b>2013-14</b>	<b>2014-15</b>	<b>2015-16</b>	<b>2016-17</b>	<b>2017-18*</b>
<b>Q1</b>	5	4	3	7	3
<b>Q2</b>	4	4	7	4	3
<b>Q3</b>	8	8	7	11	3
<b>Q4</b>	9	10	7	1	-
<b>Total</b>	<b>26</b>	<b>26</b>	<b>24</b>	<b>23</b>	<b>9</b>

\* Q1 to Q3 (up to 18/10/2017)

Further information on the prohibition orders issued can be found [here](#).

Yours sincerely

Information Disclosure Team



Your right to complain under the FoI Act

If you are unhappy with the decision made in relation to your request, you have the right to request an internal review. If you wish to exercise this right you should contact us within three months of the date of this response.

If you are not content with the outcome of the internal review, you also have a right of appeal to the Information Commissioner at Information Commissioner's Office, Wycliff House, Water Lane, Wilmslow, Cheshire SK9 5AF. Telephone: 01625 545 700. Website: [www.ico.org.uk](http://www.ico.org.uk)