

Questions 3, 4, 9, 10, 15, 16

Directive	Position at 01/01/2016				Position at 30/09/2017			
	Number of firms		Number of passports		Number of firms		Number of passports	
	Inward	Outward	Inward	Outward	Inward	Outward	Inward	Outward
Markets in Financial Instruments Directive	991	2,206	1,059	47,574	1,068	2,239	1,135	49,083
Alternative Investment Fund Managers Directive	41	183	45	1,625	61	252	66	2,306
UCITS IV Directive	88	20	93	93	97	36	101	217
UCITS Management Directive	7	5	7	6	7	3	7	3

Questions 1, 7, 13

Directive	Number of firms with passports approved in each period												Number of passports approved in each period															
	Inward						Outward						Inward						Outward									
	2016 Q1	2016 Q2	2016 Q3	2016 Q4	2017 Q1	2017 Q2	2017 Q3	2016 Q1	2016 Q2	2016 Q3	2016 Q4	2017 Q1	2017 Q2	2017 Q3	2016 Q1	2016 Q2	2016 Q3	2016 Q4	2017 Q1	2017 Q2	2017 Q3	2016 Q1	2016 Q2	2016 Q3	2016 Q4	2017 Q1	2017 Q2	2017 Q3
Markets in Financial Instruments Directive	27	24	20	22	26	22	24	90	90	69	87	78	76	80	27	24	20	22	26	22	25	1,019	1,089	867	1,204	869	782	958
Alternative Investment Fund Managers Directive	2	5	3	3	4	5	2	21	17	19	17	20	16	21	2	5	3	3	4	5	2	96	86	199	108	106	40	167
UCITS IV Directive	1	3	3	2	1	1	0	6	5	1	3	2	3	2	1	3	3	2	1	0	0	24	78	2	3	32	7	10
UCITS Management Directive																												

Questions 2, 8, 14

Directive	Number of firms with passports withdrawn in each period												Number of passports withdrawn in each period															
	Inward						Outward						Inward						Outward									
	2016 Q1	2016 Q2	2016 Q3	2016 Q4	2017 Q1	2017 Q2	2017 Q3	2016 Q1	2016 Q2	2016 Q3	2016 Q4	2017 Q1	2017 Q2	2017 Q3	2016 Q1	2016 Q2	2016 Q3	2016 Q4	2017 Q1	2017 Q2	2017 Q3	2016 Q1	2016 Q2	2016 Q3	2016 Q4	2017 Q1	2017 Q2	2017 Q3
Markets in Financial Instruments Directive	17	12	9	11	10	20	9	74	67	65	68	67	74	122	19	12	9	8	12	22	8	566	633	569	747	636	662	1,466
Alternative Investment Fund Managers Directive	0	2	1	0	1	0	0	4	7	11	9	16	7	8	0	1	1	0	1	0	0	1	31	3	33	40	9	4
UCITS IV Directive	0	1	0	1	0	0	0	1	2	0	1	0	0	0	0	1	0	1	0	0	0	1	0	0	0	0	0	31
UCITS Management Directive	0	0	0	0	0	0	0	0	0	0	0	2	0	0	0	0	0	0	0	0	0	0	0	0	0	3	0	0