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(By email)

July 2017

Our Ref: FOI5183

Dear

Freedom of Information: Right to know request

Thank you for your request under the Freedom of Information Act 2000 ("the Act") dated 7 June 2017, for the following information:

1. *"Between January 2012 & September 2012, how many applications were received for a CF30 function[?]"*
2. *Of these, how many were processed as a non-routine application.*
3. *How many of these non-routine applications, breached the 90 day policy.*
4. *Of the possible applications that took in excess of 90 days, how many went on to be authorised without any escalation to RDC?*
5. *Of the possible applications that took in excess of 90 days, how many applications were withdrawn after the 90 day period?"*

Your request has now been considered, and I can confirm that we hold the requested information, as detailed below.

Question 1:

A total of 18,174 CF30 involvements were created between 1 January 2012 and 30 September 2012.

Question 2:

Of these, 247 were processed as a non-routine application.

Question 3:

None of the non-routine applications breached the 90 day policy.

Please note that, when information is requested by the Financial conduct Authority (FCA) from the applicant firm, the period for consideration of the application stops running until the requested information is received by the FCA

(for further details on this point, please refer to section 61(4) of the Financial Services & Markets Act 2000).

Question 4

Not applicable

Question 5:

Not applicable

Yours sincerely

Information Disclosure Team



[Your right to complain under the FoI Act](#)

If you are unhappy with the decision made in relation to your request, you have the right to request an internal review. If you wish to exercise this right you should contact us within three months of the date of this response.

If you are not content with the outcome of the internal review, you also have a right of appeal to the Information Commissioner at Information Commissioner's Office, Wycliffe House, Water Lane, Wilmslow, Cheshire SK9 5AF. Telephone: 01625 545 700. Website: www.ico.org.uk