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[By Email]

June 2017

Our Ref: **FOI5171**

Dear

Freedom of Information : Right to know request

Thank you for your request under the Freedom of Information Act 2000 (the "Act").

In your previous request ("FOI5098"), one of the questions you asked was:

"How many investigations into financial services firms and financial services individuals has the FCA begun since 2012, and can you break this down per number per year?"

We provided you with the answer to this question in our response letter dated 12 May 2017.

On 31 May 2017, we received an additional request from you for the following information in connection with FOI5098:

"In regard to the figures for the number of investigations into financial services firms and individuals since 2012, broken down by calendar year, is there a further breakdown, annually, of the numbers by individual and by firm?"

Your request has now been considered and we can confirm we hold the information you have requested. This can be found in the table below.

Year	Firm	Individual	Grand Total
2012	49	84	133
2013	33	52	85
2014	46	59	105
2015	35	62	97
2016	61	152	213
2017	59	67	126
Grand Total	283	476	759

Yours sincerely

Information Disclosure Team