

Direct line: 0207 066 8080
Email: foi@fca.org.uk

[via email]

19 May 2017

Our Ref: FOI5151

Your Ref:

Dear

Freedom of Information: Right to know request

Thank you for your further request under the Freedom of Information Act 2000 (the Act) for the following information:

*"A breakdown by activity type of the number of inbound and outbound MiFID passports that have been registered with the FCA; and
Within each activity type, a further breakdown by number of inbound and outbound MiFID passports on a country-by-country basis"*

Firstly, please accept our sincere apologies for the delay in responding.

Your further request has now been considered, and I can confirm that we hold the information you have requested, which is included in Annex A below. Please note the figures provided were correct as at 9 May 2017.

Yours sincerely

Information Access Team

Your right to complain under the FoI Act

If you are unhappy with the decision made in relation to your request, you have the right to request an internal review. If you wish to exercise this right you should contact us within three months of the date of this response.

If you are not content with the outcome of the internal review, you also have a right of appeal to the Information Commissioner at Information Commissioner's Office, Wycliffe House, Water Lane, Wilmslow, Cheshire SK9 5AF. Telephone: 01625 545 700. Website: www.ico.org.uk

Count of Passport: Firm Name	Column Labels		
Row Labels	Branch	Services	Grand Total
Inward	740	5547	6287
A1 - Receive/Transmit orders	90	824	914
AUSTRIA	1	31	32
BELGIUM		6	6
BULGARIA		11	11
CROATIA		2	2
CYPRUS	7	154	161
CZECH REPUBLIC	1	4	5
DENMARK		24	24
ESTONIA		2	2
FINLAND	2	19	21
FRANCE	17	78	95
GERMANY	19	94	113
GIBRALTAR	5	18	23
GREECE		16	16
HUNGARY		4	4
ICELAND		4	4
IRELAND	13	62	75
ITALY	4	8	12
LATVIA		2	2
LIECHTENSTEIN	1	36	37
LITHUANIA		1	1
LUXEMBOURG	6	75	81
MALTA		22	22
NETHERLANDS	6	63	69
NORWAY	1	34	35
POLAND		1	1
PORTUGAL		3	3
ROMANIA		4	4
SLOVAKIA		2	2
SPAIN	4	25	29
SWEDEN	3	19	22
A2 - Execution of orders	75	639	714
AUSTRIA	2	11	13
BELGIUM		4	4
BULGARIA		11	11
CROATIA		1	1
CYPRUS	3	142	145
CZECH REPUBLIC	1	3	4
DENMARK		22	22
ESTONIA		2	2
FINLAND	1	16	17
FRANCE	16	63	79
GERMANY	18	71	89
GIBRALTAR	3	17	20
GREECE		9	9
HUNGARY		4	4
ICELAND		5	5

IRELAND	9	37	46
ITALY	3	8	11
LATVIA		2	2
LIECHTENSTEIN		19	19
LITHUANIA		1	1
LUXEMBOURG	5	60	65
MALTA		17	17
NETHERLANDS	6	42	48
NORWAY	1	25	26
POLAND		3	3
PORTUGAL		3	3
ROMANIA		4	4
SLOVAKIA		2	2
SPAIN	4	19	23
SWEDEN	3	16	19
A3 - Dealing on own account	58	328	386
AUSTRIA	2	9	11
BELGIUM		4	4
BULGARIA		6	6
CROATIA		1	1
CYPRUS	2	55	57
CZECH REPUBLIC		1	1
DENMARK		8	8
ESTONIA		2	2
FINLAND		9	9
FRANCE	15	52	67
GERMANY	12	28	40
GIBRALTAR	1	4	5
GREECE		9	9
HUNGARY		2	2
ICELAND		2	2
IRELAND	8	21	29
ITALY	2	8	10
LATVIA		1	1
LIECHTENSTEIN		5	5
LUXEMBOURG	5	23	28
MALTA		8	8
NETHERLANDS	6	26	32
NORWAY	1	15	16
POLAND		2	2
PORTUGAL		2	2
ROMANIA		2	2
SPAIN	2	13	15
SWEDEN	2	10	12
A4 - Portfolio management	44	509	553
AUSTRIA	1	28	29
BELGIUM		3	3
BULGARIA		7	7
CYPRUS		67	67
CZECH REPUBLIC		4	4
DENMARK		21	21

ESTONIA		1	1
FINLAND	1	11	12
FRANCE	7	37	44
GERMANY	12	51	63
GIBRALTAR	1	15	16
GREECE		5	5
HUNGARY		3	3
ICELAND		2	2
IRELAND	8	53	61
ITALY	2		2
LATVIA		2	2
LIECHTENSTEIN		36	36
LITHUANIA		1	1
LUXEMBOURG	4	58	62
MALTA		9	9
NETHERLANDS	6	55	61
NORWAY		12	12
PORTUGAL		5	5
ROMANIA		3	3
SLOVAKIA		2	2
SPAIN	2	10	12
SWEDEN		8	8
A5 - Investment advice	80	665	745
AUSTRIA	3	30	33
BELGIUM		4	4
BULGARIA		7	7
CROATIA		1	1
CYPRUS	3	50	53
CZECH REPUBLIC	1	4	5
DENMARK		28	28
ESTONIA		2	2
FINLAND	1	17	18
FRANCE	14	67	81
GERMANY	15	84	99
GIBRALTAR	4	21	25
GREECE		8	8
HUNGARY		4	4
ICELAND		4	4
IRELAND	12	59	71
ITALY	6	3	9
LATVIA		1	1
LIECHTENSTEIN	1	39	40
LITHUANIA		1	1
LUXEMBOURG	6	70	76
MALTA		16	16
NETHERLANDS	6	62	68
NORWAY	1	35	36
POLAND		1	1
PORTUGAL		2	2
ROMANIA		2	2
SLOVAKIA		2	2

SPAIN	5	25	30
SWEDEN	2	16	18
A6 - Underwriting	37	183	220
AUSTRIA	1	5	6
BELGIUM		4	4
BULGARIA		4	4
CROATIA		1	1
CYPRUS	1	8	9
CZECH REPUBLIC	1	1	2
DENMARK		2	2
ESTONIA		1	1
FINLAND		5	5
FRANCE	9	33	42
GERMANY	6	18	24
GIBRALTAR		1	1
GREECE		5	5
HUNGARY		3	3
ICELAND		1	1
IRELAND	4	12	16
ITALY	2	4	6
LIECHTENSTEIN		4	4
LUXEMBOURG	3	18	21
MALTA		2	2
NETHERLANDS	6	11	17
NORWAY	1	23	24
POLAND		1	1
PORTUGAL		1	1
ROMANIA		1	1
SPAIN	2	9	11
SWEDEN	1	5	6
A7 - Placing of fin instr w/o commitment	46	286	332
AUSTRIA	1	5	6
BELGIUM		4	4
BULGARIA		6	6
CROATIA		1	1
CYPRUS	1	8	9
CZECH REPUBLIC	1	2	3
DENMARK		5	5
ESTONIA		1	1
FINLAND	1	13	14
FRANCE	9	54	63
GERMANY	9	38	47
GREECE		5	5
HUNGARY		3	3
ICELAND		2	2
IRELAND	7	21	28
ITALY	4	9	13
LATVIA		2	2
LIECHTENSTEIN		4	4
LITHUANIA		1	1
LUXEMBOURG	3	30	33

MALTA		8	8
NETHERLANDS	6	18	24
NORWAY	1	20	21
POLAND		1	1
PORTUGAL		1	1
ROMANIA		1	1
SLOVAKIA		1	1
SPAIN	2	15	17
SWEDEN	1	7	8
A8 - Operation of MTF	6	33	39
AUSTRIA	1		1
BELGIUM		1	1
DENMARK		1	1
FINLAND		2	2
FRANCE		1	1
GERMANY		5	5
IRELAND		2	2
ITALY		3	3
LATVIA		1	1
LIECHTENSTEIN		2	2
LUXEMBOURG	2	11	13
MALTA		1	1
NETHERLANDS	1	1	2
NORWAY	1	2	3
SPAIN	1		1
B1 - Safekeeping / safe custody	43	417	460
AUSTRIA	1	10	11
BELGIUM		3	3
BULGARIA		9	9
CROATIA		1	1
CYPRUS	1	152	153
CZECH REPUBLIC	1	3	4
DENMARK		2	2
ESTONIA		2	2
FINLAND		13	13
FRANCE	9	42	51
GERMANY	6	20	26
GIBRALTAR	1	8	9
GREECE		8	8
HUNGARY		3	3
ICELAND		4	4
IRELAND	4	17	21
ITALY	3	3	6
LATVIA		2	2
LIECHTENSTEIN		7	7
LITHUANIA		1	1
LUXEMBOURG	5	39	44
MALTA	1	6	7
NETHERLANDS	6	14	20
NORWAY	1	21	22
POLAND		1	1

PORTUGAL		1	1
ROMANIA		2	2
SLOVAKIA		2	2
SPAIN	3	10	13
SWEDEN	1	11	12
B2 - Granting credits / loans	38	304	342
AUSTRIA	1	9	10
BELGIUM		3	3
BULGARIA		7	7
CROATIA		1	1
CYPRUS		120	120
CZECH REPUBLIC	1	2	3
DENMARK		2	2
ESTONIA		2	2
FINLAND		5	5
FRANCE	10	34	44
GERMANY	4	15	19
GIBRALTAR	1	5	6
GREECE		5	5
HUNGARY		2	2
ICELAND		1	1
IRELAND	4	5	9
ITALY	2	3	5
LATVIA		1	1
LIECHTENSTEIN		8	8
LITHUANIA		1	1
LUXEMBOURG	4	37	41
MALTA		3	3
NETHERLANDS	6	7	13
NORWAY	1	10	11
PORTUGAL		1	1
ROMANIA		1	1
SLOVAKIA		2	2
SPAIN	2	8	10
SWEDEN	2	4	6
B3 - Advice to undertakings on cap structure	44	266	310
AUSTRIA	2	7	9
BELGIUM		3	3
BULGARIA		6	6
CROATIA		1	1
CYPRUS	1	15	16
CZECH REPUBLIC	1	4	5
DENMARK		7	7
ESTONIA		1	1
FINLAND		8	8
FRANCE	10	34	44
GERMANY	6	30	36
GREECE		4	4
HUNGARY		3	3
ICELAND		2	2
IRELAND	6	20	26

ITALY	4	4	8
LIECHTENSTEIN		4	4
LITHUANIA		1	1
LUXEMBOURG	4	37	41
MALTA		2	2
NETHERLANDS	6	14	20
NORWAY	1	26	27
POLAND		1	1
PORTUGAL		1	1
ROMANIA		2	2
SLOVAKIA		1	1
SPAIN	2	22	24
SWEDEN	1	6	7
B4 - Foreign exchange services	51	439	490
AUSTRIA	1	10	11
BELGIUM		3	3
BULGARIA		11	11
CROATIA		1	1
CYPRUS		133	133
CZECH REPUBLIC		3	3
DENMARK		18	18
ESTONIA		1	1
FINLAND	1	11	12
FRANCE	11	41	52
GERMANY	10	38	48
GIBRALTAR	2	10	12
GREECE		3	3
HUNGARY		2	2
ICELAND		3	3
IRELAND	7	29	36
ITALY	3	3	6
LATVIA		2	2
LIECHTENSTEIN		8	8
LITHUANIA		1	1
LUXEMBOURG	4	47	51
MALTA		5	5
NETHERLANDS	6	18	24
NORWAY	1	14	15
POLAND		2	2
PORTUGAL		1	1
ROMANIA		3	3
SLOVAKIA		1	1
SPAIN	3	12	15
SWEDEN	2	5	7
B5 - Investment Research	59	334	393
AUSTRIA	2	9	11
BELGIUM		2	2
BULGARIA		7	7
CROATIA		1	1
CYPRUS		47	47
CZECH REPUBLIC	1	1	2

DENMARK		6	6
ESTONIA		1	1
FINLAND		10	10
FRANCE	13	29	42
GERMANY	11	39	50
GIBRALTAR	3	10	13
GREECE		1	1
HUNGARY		3	3
ICELAND		2	2
IRELAND	8	24	32
ITALY	3	3	6
LATVIA		1	1
LIECHTENSTEIN		31	31
LITHUANIA		1	1
LUXEMBOURG	6	47	53
MALTA		7	7
NETHERLANDS	6	9	15
NORWAY	1	11	12
POLAND		3	3
PORTUGAL		1	1
ROMANIA		2	2
SLOVAKIA		2	2
SPAIN	3	20	23
SWEDEN	2	4	6
B6 - Services related to underwriting	35	184	219
AUSTRIA	1	6	7
BELGIUM		4	4
BULGARIA		4	4
CYPRUS		9	9
CZECH REPUBLIC	1	2	3
DENMARK		2	2
ESTONIA		1	1
FINLAND		9	9
FRANCE	8	22	30
GERMANY	5	21	26
GIBRALTAR		1	1
GREECE		6	6
HUNGARY		3	3
ICELAND		1	1
IRELAND	5	18	23
ITALY	2	2	4
LIECHTENSTEIN		4	4
LITHUANIA		1	1
LUXEMBOURG	3	21	24
MALTA		2	2
NETHERLANDS	6	9	15
NORWAY	1	23	24
POLAND		1	1
PORTUGAL		1	1
ROMANIA		1	1
SPAIN	2	5	7

SWEDEN	1	5	6
B7 - Ancillary services	34	136	170
AUSTRIA	1	7	8
BELGIUM		1	1
BULGARIA		1	1
CYPRUS		14	14
DENMARK		4	4
FINLAND		5	5
FRANCE	5	12	17
GERMANY	8	19	27
GIBRALTAR		3	3
GREECE		1	1
HUNGARY		1	1
ICELAND		3	3
IRELAND	3	9	12
ITALY	2	1	3
LATVIA		1	1
LIECHTENSTEIN		5	5
LITHUANIA		1	1
LUXEMBOURG	4	31	35
MALTA		3	3
NETHERLANDS	6	6	12
NORWAY	1	2	3
POLAND		1	1
PORTUGAL		1	1
ROMANIA		1	1
SPAIN	2	2	4
SWEDEN	2	1	3
Outward	2670	230950	233620
A1 - Receive/Transmit orders	459	46601	47060
AUSTRIA	5	1695	1700
BELGIUM	8	1757	1765
BULGARIA	3	1212	1215
CROATIA	1	367	368
CYPRUS	3	1411	1414
CZECH REPUBLIC	6	1324	1330
DENMARK	10	1688	1698
EIRE		2	2
ESTONIA		1287	1287
FINLAND	4	1648	1652
FRANCE	57	1952	2009
GERMANY	99	1890	1989
GIBRALTAR	3	1310	1313
GREECE	4	1496	1500
HUNGARY	3	1332	1335
ICELAND	1	1485	1486
IRELAND	40	1866	1906
ITALY	53	1839	1892
LATVIA	1	1287	1288
LIECHTENSTEIN	1	1530	1531
LITHUANIA	1	1286	1287

LUXEMBOURG	9	1745	1754
MALTA	1	1414	1415
NETHERLANDS	42	1634	1676
NORWAY	8	1680	1688
POLAND	10	1389	1399
PORTUGAL	2	1698	1700
ROMANIA	2	1205	1207
SLOVAKIA	2	1287	1289
SLOVENIA		1282	1282
SPAIN	51	1888	1939
SWEDEN	29	1715	1744
A2 - Execution of orders	255	24288	24543
AUSTRIA	4	881	885
BELGIUM	2	914	916
BULGARIA	3	637	640
CROATIA	1	224	225
CYPRUS	2	731	733
CZECH REPUBLIC	3	691	694
DENMARK	4	883	887
EIRE		2	2
ESTONIA		671	671
FINLAND	2	866	868
FRANCE	31	976	1007
GERMANY	47	956	1003
GIBRALTAR	3	683	686
GREECE	3	782	785
HUNGARY	1	692	693
ICELAND		778	778
IRELAND	22	956	978
ITALY	29	940	969
LATVIA	1	675	676
LIECHTENSTEIN		813	813
LITHUANIA	1	674	675
LUXEMBOURG	4	917	921
MALTA	1	749	750
NETHERLANDS	24	881	905
NORWAY	6	880	886
POLAND	8	716	724
PORTUGAL	2	888	890
ROMANIA	2	635	637
SLOVAKIA		674	674
SLOVENIA		672	672
SPAIN	30	953	983
SWEDEN	19	898	917
A3 - Dealing on own account	138	7601	7739
AUSTRIA	1	270	271
BELGIUM	1	276	277
BULGARIA	1	211	212
CROATIA	1	79	80
CYPRUS	1	229	230
CZECH REPUBLIC	2	225	227

DENMARK	3	273	276
ESTONIA		227	227
FINLAND	2	268	270
FRANCE	21	283	304
GERMANY	19	289	308
GIBRALTAR	2	212	214
GREECE	2	258	260
HUNGARY	1	227	228
ICELAND		254	254
IRELAND	16	277	293
ITALY	14	280	294
LATVIA	1	225	226
LIECHTENSTEIN		251	251
LITHUANIA	1	226	227
LUXEMBOURG	1	272	273
MALTA		230	230
NETHERLANDS	12	272	284
NORWAY	3	271	274
POLAND	4	232	236
PORTUGAL	1	274	275
ROMANIA	1	213	214
SLOVAKIA		224	224
SLOVENIA		223	223
SPAIN	15	278	293
SWEDEN	12	272	284
A4 - Portfolio management	194	15608	15802
AUSTRIA	1	591	592
BELGIUM	1	617	618
BULGARIA	1	371	372
CROATIA	1	110	111
CYPRUS		455	455
CZECH REPUBLIC	2	411	413
DENMARK	2	587	589
EIRE		3	3
ESTONIA		398	398
FINLAND	3	570	573
FRANCE	25	678	703
GERMANY	36	659	695
GIBRALTAR	2	421	423
GREECE	3	511	514
HUNGARY	1	408	409
ICELAND		502	502
IRELAND	14	665	679
ITALY	29	639	668
LATVIA		398	398
LIECHTENSTEIN		520	520
LITHUANIA		394	394
LUXEMBOURG	1	635	636
MALTA	1	466	467
NETHERLANDS	23	596	619
NORWAY	5	583	588

POLAND	2	430	432
PORTUGAL	3	587	590
ROMANIA	1	365	366
SLOVAKIA		394	394
SLOVENIA	1	390	391
SPAIN	19	648	667
SWEDEN	17	606	623
A5 - Investment advice	368	42738	43106
AUSTRIA	4	1557	1561
BELGIUM	4	1635	1639
BULGARIA	1	1099	1100
CROATIA		294	294
CYPRUS	2	1292	1294
CZECH REPUBLIC	3	1199	1202
DENMARK	7	1560	1567
EIRE		1	1
ESTONIA		1162	1162
FINLAND	3	1514	1517
FRANCE	56	1826	1882
GERMANY	82	1756	1838
GIBRALTAR	2	1201	1203
GREECE	4	1373	1377
HUNGARY		1201	1201
ICELAND	1	1354	1355
IRELAND	30	1729	1759
ITALY	41	1706	1747
LATVIA		1158	1158
LIECHTENSTEIN		1406	1406
LITHUANIA		1154	1154
LUXEMBOURG	6	1629	1635
MALTA	1	1295	1296
NETHERLANDS	38	1493	1531
NORWAY	6	1547	1553
POLAND	5	1255	1260
PORTUGAL	2	1574	1576
ROMANIA	1	1094	1095
SLOVAKIA		1161	1161
SLOVENIA		1160	1160
SPAIN	38	1768	1806
SWEDEN	31	1585	1616
A6 - Underwriting	89	4268	4357
AUSTRIA		157	157
BELGIUM	2	165	167
BULGARIA		108	108
CROATIA		35	35
CYPRUS		123	123
CZECH REPUBLIC	1	119	120
DENMARK	1	156	157
ESTONIA		121	121
FINLAND	2	153	155
FRANCE	16	169	185

GERMANY	12	166	178
GIBRALTAR	2	111	113
GREECE	2	153	155
HUNGARY		120	120
ICELAND		146	146
IRELAND	6	169	175
ITALY	11	162	173
LATVIA		118	118
LIECHTENSTEIN		154	154
LITHUANIA		118	118
LUXEMBOURG	1	158	159
MALTA		123	123
NETHERLANDS	7	156	163
NORWAY	2	158	160
POLAND	3	119	122
PORTUGAL	1	160	161
ROMANIA		108	108
SLOVAKIA		118	118
SLOVENIA		119	119
SPAIN	10	164	174
SWEDEN	10	162	172
A7 - Placing of fin instr w/o commitment	127	7480	7607
AUSTRIA	1	274	275
BELGIUM	2	287	289
BULGARIA		192	192
CROATIA		64	64
CYPRUS		222	222
CZECH REPUBLIC	1	207	208
DENMARK	2	275	277
ESTONIA		204	204
FINLAND	3	274	277
FRANCE	22	296	318
GERMANY	19	296	315
GIBRALTAR	2	207	209
GREECE	3	251	254
HUNGARY		212	212
ICELAND		244	244
IRELAND	8	294	302
ITALY	22	292	314
LATVIA		204	204
LIECHTENSTEIN	1	255	256
LITHUANIA		203	203
LUXEMBOURG	1	285	286
MALTA		228	228
NETHERLANDS	7	275	282
NORWAY	4	277	281
POLAND	3	215	218
PORTUGAL	1	276	277
ROMANIA		191	191
SLOVAKIA		202	202
SLOVENIA		205	205

SPAIN	13	292	305
SWEDEN	12	281	293
A8 - Operation of MTF	17	1274	1291
AUSTRIA		43	43
BELGIUM		43	43
BULGARIA		40	40
CROATIA		20	20
CYPRUS		40	40
CZECH REPUBLIC		41	41
DENMARK		44	44
ESTONIA		41	41
FINLAND		43	43
FRANCE	3	43	46
GERMANY	3	44	47
GIBRALTAR	1	38	39
GREECE		40	40
HUNGARY		41	41
ICELAND		41	41
IRELAND	1	44	45
ITALY	2	43	45
LATVIA		41	41
LIECHTENSTEIN		41	41
LITHUANIA		41	41
LUXEMBOURG		41	41
MALTA		41	41
NETHERLANDS	1	44	45
NORWAY	1	43	44
POLAND		42	42
PORTUGAL	1	43	44
ROMANIA		41	41
SLOVAKIA		41	41
SLOVENIA		41	41
SPAIN	3	42	45
SWEDEN	1	43	44
B1 - Safekeeping / safe custody	111	6299	6410
AUSTRIA		227	227
BELGIUM	2	235	237
BULGARIA	2	165	167
CROATIA		51	51
CYPRUS	1	194	195
CZECH REPUBLIC	1	180	181
DENMARK	1	226	227
ESTONIA		174	174
FINLAND	2	215	217
FRANCE	12	256	268
GERMANY	16	251	267
GIBRALTAR	2	181	183
GREECE	3	211	214
HUNGARY		177	177
ICELAND		199	199
IRELAND	14	256	270

ITALY	13	241	254
LATVIA	1	174	175
LIECHTENSTEIN		215	215
LITHUANIA	1	176	177
LUXEMBOURG	4	228	232
MALTA		195	195
NETHERLANDS	8	230	238
NORWAY	3	222	225
POLAND	1	185	186
PORTUGAL	1	240	241
ROMANIA	1	165	166
SLOVAKIA		173	173
SLOVENIA		174	174
SPAIN	10	252	262
SWEDEN	12	231	243
B2 - Granting credits / loans	92	3604	3696
AUSTRIA		126	126
BELGIUM	2	128	130
BULGARIA		104	104
CROATIA		45	45
CYPRUS		109	109
CZECH REPUBLIC	1	108	109
DENMARK	1	126	127
ESTONIA		107	107
FINLAND	2	124	126
FRANCE	14	131	145
GERMANY	13	134	147
GIBRALTAR	2	107	109
GREECE	2	124	126
HUNGARY		108	108
ICELAND		117	117
IRELAND	8	134	142
ITALY	12	131	143
LATVIA		106	106
LIECHTENSTEIN		120	120
LITHUANIA		107	107
LUXEMBOURG	2	127	129
MALTA		110	110
NETHERLANDS	9	129	138
NORWAY	3	127	130
POLAND	1	111	112
PORTUGAL	1	126	127
ROMANIA		106	106
SLOVAKIA		107	107
SLOVENIA		106	106
SPAIN	10	131	141
SWEDEN	9	128	137
B3 - Advice to undertakings on cap structure	151	13601	13752
AUSTRIA	1	489	490
BELGIUM	1	505	506
BULGARIA		362	362

CROATIA		109	109
CYPRUS	1	408	409
CZECH REPUBLIC	2	397	399
DENMARK	1	493	494
ESTONIA		389	389
FINLAND	3	484	487
FRANCE	20	529	549
GERMANY	30	538	568
GIBRALTAR	2	383	385
GREECE	3	430	433
HUNGARY		404	404
ICELAND	1	450	451
IRELAND	10	520	530
ITALY	21	531	552
LATVIA		388	388
LIECHTENSTEIN		461	461
LITHUANIA		388	388
LUXEMBOURG	2	508	510
MALTA		412	412
NETHERLANDS	11	482	493
NORWAY	3	490	493
POLAND	5	415	420
PORTUGAL	1	479	480
ROMANIA		362	362
SLOVAKIA		388	388
SLOVENIA		392	392
SPAIN	16	514	530
SWEDEN	17	501	518
B4 - Foreign exchange services	176	13646	13822
AUSTRIA	1	504	505
BELGIUM	3	516	519
BULGARIA	2	355	357
CROATIA		130	130
CYPRUS		400	400
CZECH REPUBLIC	3	389	392
DENMARK	4	501	505
EIRE		1	1
ESTONIA		380	380
FINLAND	2	492	494
FRANCE	24	546	570
GERMANY	24	540	564
GIBRALTAR	2	366	368
GREECE	3	461	464
HUNGARY	1	387	388
ICELAND		440	440
IRELAND	19	534	553
ITALY	18	534	552
LATVIA		379	379
LIECHTENSTEIN		457	457
LITHUANIA		372	372
LUXEMBOURG	5	519	524

MALTA		409	409
NETHERLANDS	18	500	518
NORWAY	4	500	504
POLAND	7	399	406
PORTUGAL	1	495	496
ROMANIA	1	350	351
SLOVAKIA		378	378
SLOVENIA		374	374
SPAIN	18	534	552
SWEDEN	16	504	520
B5 - Investment Research	277	32485	32762
AUSTRIA	3	1193	1196
BELGIUM	4	1263	1267
BULGARIA	1	801	802
CROATIA		221	221
CYPRUS	2	975	977
CZECH REPUBLIC	3	906	909
DENMARK	3	1190	1193
ESTONIA		870	870
FINLAND	1	1160	1161
FRANCE	41	1409	1450
GERMANY	62	1349	1411
GIBRALTAR	1	889	890
GREECE	4	1058	1062
HUNGARY		902	902
ICELAND	1	1034	1035
IRELAND	27	1332	1359
ITALY	31	1311	1342
LATVIA		866	866
LIECHTENSTEIN		1083	1083
LITHUANIA		861	861
LUXEMBOURG	5	1235	1240
MALTA	1	965	966
NETHERLANDS	30	1157	1187
NORWAY	3	1187	1190
POLAND	4	944	948
PORTUGAL	2	1209	1211
ROMANIA	1	799	800
SLOVAKIA		867	867
SLOVENIA		869	869
SPAIN	28	1364	1392
SWEDEN	19	1216	1235
B6 - Services related to underwriting	108	4829	4937
AUSTRIA	1	181	182
BELGIUM	2	184	186
BULGARIA		118	118
CROATIA		34	34
CYPRUS		143	143
CZECH REPUBLIC	1	134	135
DENMARK	1	176	177
ESTONIA		132	132

FINLAND	3	171	174
FRANCE	16	193	209
GERMANY	18	193	211
GIBRALTAR	2	129	131
GREECE	2	170	172
HUNGARY		138	138
ICELAND		161	161
IRELAND	7	191	198
ITALY	15	190	205
LATVIA		131	131
LIECHTENSTEIN		173	173
LITHUANIA		131	131
LUXEMBOURG	1	188	189
MALTA		144	144
NETHERLANDS	9	183	192
NORWAY	2	177	179
POLAND	4	140	144
PORTUGAL	1	175	176
ROMANIA		117	117
SLOVAKIA		132	132
SLOVENIA		132	132
SPAIN	10	188	198
SWEDEN	13	180	193
B7 - Ancillary services	108	6431	6539
AUSTRIA	1	220	221
BELGIUM	2	225	227
BULGARIA	1	190	191
CROATIA		91	91
CYPRUS		205	205
CZECH REPUBLIC	2	197	199
DENMARK	2	216	218
EIRE		1	1
ESTONIA		194	194
FINLAND	1	214	215
FRANCE	14	240	254
GERMANY	16	234	250
GIBRALTAR	1	203	204
GREECE	3	205	208
HUNGARY		195	195
ICELAND		200	200
IRELAND	11	234	245
ITALY	15	235	250
LATVIA		193	193
LIECHTENSTEIN		204	204
LITHUANIA		194	194
LUXEMBOURG	3	233	236
MALTA		213	213
NETHERLANDS	9	225	234
NORWAY	2	217	219
POLAND	3	203	206
PORTUGAL	1	218	219

ROMANIA	1	191	192
SLOVAKIA		193	193
SLOVENIA		194	194
SPAIN	11	232	243
SWEDEN	9	222	231
Provision of arrangements to facilitate access to a Multilateral Trading Facilit		30	30
AUSTRIA		1	1
BELGIUM		1	1
BULGARIA		1	1
CYPRUS		1	1
CZECH REPUBLIC		1	1
DENMARK		1	1
ESTONIA		1	1
FINLAND		1	1
FRANCE		1	1
GERMANY		1	1
GIBRALTAR		1	1
GREECE		1	1
HUNGARY		1	1
ICELAND		1	1
IRELAND		1	1
ITALY		1	1
LATVIA		1	1
LIECHTENSTEIN		1	1
LITHUANIA		1	1
LUXEMBOURG		1	1
MALTA		1	1
NETHERLANDS		1	1
NORWAY		1	1
POLAND		1	1
PORTUGAL		1	1
ROMANIA		1	1
SLOVAKIA		1	1
SLOVENIA		1	1
SPAIN		1	1
SWEDEN		1	1
Provision of arrangements to facilitate access to a Regulated Market		167	167
AUSTRIA		6	6
BELGIUM		6	6
BULGARIA		5	5
CYPRUS		5	5
CZECH REPUBLIC		5	5
DENMARK		5	5
ESTONIA		5	5
FINLAND		7	7
FRANCE		7	7
GERMANY		6	6
GIBRALTAR		5	5
GREECE		5	5

HUNGARY		5	5
ICELAND		5	5
IRELAND		7	7
ITALY		6	6
LATVIA		5	5
LIECHTENSTEIN		5	5
LITHUANIA		5	5
LUXEMBOURG		6	6
MALTA		6	6
NETHERLANDS		6	6
NORWAY		5	5
POLAND		5	5
PORTUGAL		6	6
ROMANIA		5	5
SLOVAK REPUBLIC		5	5
SLOVENIA		5	5
SPAIN		6	6
SWEDEN		7	7
Grand Total		3410	236497
			239907