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[via email]

Xx June 2017

Our Ref: FOI5126

Dear

**Freedom of Information : Right to know request**

Thank you for your request under the Freedom of Information Act 2000 (the Act), for a list of organisations currently filing forms FSA001 and/or FSA002.

Before I respond to your request I would like to explain that, as at 1 April 2013, the Financial Services Authority ceased to exist and was replaced by two new organisations: the Prudential Regulation Authority ('PRA'), as a subsidiary of the Bank of England (the Bank), and the Financial Conduct Authority ('FCA'). Responsibility for other certain prudential functions also moved to the Bank. Further information on these can be found at [www.fca.org.uk](http://www.fca.org.uk).

The FCA is the conduct regulator for 56,000 businesses. The FCA is also the prudential regulator for over 18,000 of these firms and the PRA is the prudential regulator of banks, building societies, credit unions, insurers and designated investment firms.

Firstly, please accept my apologies for the delay in responding to your request. Your request has now been considered and our response is follows. We have interpreted your request to be for a list of firms that currently submit return FSA001 and/or FSA002 to the FCA in its capacity as a prudential regulator. Our response therefore only covers those firms for which the FCA is both the conduct and prudential regulator, please see attached list of such firms.

We understand that you have also contacted the PRA for a list of those firms that are regulated by both the PRA and the FCA. If you combine our response and the PRA's response you have a complete list of firms.

Yours sincerely

**Information Disclosure Team**

**Annex A**

Attached