

Direct line: 0207 066 8080
Email: foi@fca.org.uk

By email

24 May 2017

Our Ref: FOI5109

Dear

Freedom of Information: Right to know request

Thank you for your request under the Freedom of Information Act 2000 ("the Act") for the following information.

1. *The total number of cyber incidents (both attacks and those attributable to human error) suffered (to your knowledge) by the private equity firms that you regulate over the following periods:*

- 2014

- 2015

- 2016

- and the first 4/5 months of 2017

In addition, for the cyber incidents that you have recorded, details of:

2. *The type of attack (e.g. insider incident, DDoS, data breach, ransomware etc).*
3. *Who the attack was attributed to (e.g. nation state, cybercriminal group, hacktivist etc.).*
4. *The overall quantum of impact (whether financial, reputational, legal or otherwise).*

I apologise for the delay in responding.

Before considering your request it is worth noting that a 'private equity firm' is not a permission in and of itself, and falls within the Managing Investment permission. As there is

no criterion for gathering information as to which firm with the permission of managing investment did or did not operate as a private equity firm, in order to process your request we have only considered only those firms with the permission of managing investment.

Your request has been considered. I can confirm that we hold information falling within scope of points 1 and 2 which is attached in Annex A.

We do not hold information falling within the scope of points 3 and 4 as we do not record the quantum of impact for all events, nor do we record attribution.

Yours sincerely

Information Disclosure Team
Financial Conduct Authority

Annex A

	total number of cyber incidents	the type of attack	who the attack was attributed	the overall quantum of impact
2014	0	N/A	Not Held	Not Held
2015	8	7 x DDoS 1 x Hacking	Not Held	Not Held
2016	6	1 x Phishing 3 x Ransomware 2 x Hacking	Not Held	Not Held
4/5 months of 2017	8	1 x Phishing 2 x Hacking 5 x Ransomware	Not Held	Not Held