

Direct line: 020 7066 8080
Email: foi@fca.org.uk

Email

17 May 2017

Our Ref: FOI5086

Dear

Freedom of Information: Right to know request

Thank you for your request under the Freedom of Information Act 2000 ("the Act"), for the following information in relation to firm authorisations and withdrawals.

- 1) *1) I would like to please request information on the total number of firms to date (April 2017) that have been refused authorisation or withdrew their applications since April 2014.*
- 2) *2) I would also please like to know the total number of firms that have been granted authorisation since April 2014.*

On 20 April 2017, you clarified that you were 'seeking to obtain the number of all FCA authorised firms'.

Your request has been considered.

Point 1: From the period 1 April 2014 to 28 April 2017, 78 were refused and 2,520 withdrawn.

Point 2: From the period 1 April 2014 to 28 April 2017, 33,089 were approved.

Yours sincerely

Information Disclosure Team