Dear

Freedom of Information: Right to know request

Thank you for your request under the Freedom of Information Act 2000 ("the Act"), for the following information in relation to firm authorisations and withdrawals.

1) I would like to please request information on the total number of firms to date (April 2017) that have been refused authorisation or withdrew their applications since April 2014.

2) I would also please like to know the total number of firms that have been granted authorisation since April 2014.

On 20 April 2017, you clarified that you were ‘seeking to obtain the number of all FCA authorised firms’.

Your request has been considered.

Point 1: From the period 1 April 2014 to 28 April 2017, 78 were refused and 2,520 withdrawn.

Point 2: From the period 1 April 2014 to 28 April 2017, 33,089 were approved.

Yours sincerely

Information Disclosure Team