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(By email)

11 April 2017

Our Ref: FOI5025

Dear

Freedom of Information: Right to know request

We refer to your request under the Freedom of Information Act 2000 ("the Act") for the following information:

*"Which insurance brokers had their licence revoked by the FCA in 2016?
Which insurance brokers had their licence revoked by the FCA in 2015?"*

On 20 March 2017, you confirmed that you are interested in both individuals and firms. You also confirmed that by 'licence' you mean any authorisation and/or permission/s granted by the FCA, and by 'revoked' you mean as a result of enforcement action.

Your request has now been considered and the information is provided in the table below.

Please note that the term "insurance broker" has been defined as a firm that has, or had, either permission for "Insurance Mediation" (as shown on the FS Register) or was classified under the "General Insurance Intermediary" (primary or secondary category), or as an individual who was employed by an insurance broking firm and whose approval was withdrawn while in employment of said firm.

Firm or Individual	Date of Final Notice
Alpha Accident Claims Limited	20/03/2015
EDS Insure Limited	24/06/2015
Oracle Financial Planning	02/07/2015
Tony Robertson Insurance (Paul Robertson trading as)	13/07/2015
The Motorhome Hire Network Limited	13/07/2015
Mountbatten Financial Limited	05/08/2015
Mark Cleaver	20/08/2015

Ralph Paul Whittington (withdrawal of approvals in connection with controlled functions at SaveSure Limited)	10/09/2015
A A A Insurance Limited	09/10/2015
Mr Colin J McIntosh (withdrawal of approvals in connection with controlled functions at Coverall Worldwide Limited)	01/02/2016
Yswiriant Celtic Insurance	08/03/2016
Cga Insurance Services Limited	08/03/2016
D.M.Sayer & Co (Insurance) (David Michael Sayer trading as)	14/04/2016
Lester Evans	14/04/2016
M I Wealth Management Limited	14/04/2016
Park Grove Financial Management Limited	27/06/2016
Dual Mortgage Brokers (Francis Birchall trading as)	27/06/2016
GB Financial Consultancy Limited	27/06/2016
Matrix Integrated Financial Solutions (Richard Williams trading as)	19/07/2016
Marlborough Isleworth Motors Ltd	21/11/2016

Yours sincerely

Information Access Team
Financial Conduct Authority

Your right to complain under the FoI Act

If you are unhappy with the decision made in relation to your request, you have the right to request an internal review. If you wish to exercise this right you should contact us within three months of the date of this response.

If you are not content with the outcome of the internal review, you also have a right of appeal to the Information Commissioner at Information Commissioner's Office, Wycliffe House, Water Lane, Wilmslow, Cheshire SK9 5AF. Telephone: 01625 545 700. Website: www.ico.org.uk