Telephone: 020 7066 8080 Email: foi@fca.org.uk

## March 2017

Our Ref: FOI 5003

Dear

## Freedom of Information: Right to know request

Thank you for your request under the Freedom of Information Act 2000 (the Act). Your full request can be found in Annex A.

Your request has now been considered and I can confirm we hold some of the information you have requested. This information can be found in the tables below.

Please note - Senior Managers Regime Functions are not available prior to 2016 as the regime was not in place.

31/12/2016	Men	Women	Gender not disclosed.
SMF1	203	29	6
SMF3	199	67	9
SMF19	67	6	3
CF1	26623	4896	293
CF2	3443	608	123
CF3	4483	284	92
CF4	8456	1371	1426
CF5	123	17	11
CF6	38	9	5
CF10	9121	1337	80
CF11	10046	1557	91
All CFs/SMFs/SIMFs	112838	23033	3559

31/12/2015 Men Women Gender not				
	31/12/2015	Men	Women	Gender not

			disclosed.
SMF1	n/a	n/a	n/a
SMF3	n/a	n/a	n/a
SMF19	n/a	n/a	n/a
CF1	26276	6212	480
CF2	4995	1363	239
CF3	4842	428	136
CF4	8341	1287	1477
CF5	183	23	11
CF6	84	19	15
CF10	8796	1244	84
CF11	9296	1502	111
All CFs/SMFs/SIMFs	130843	28769	4009

31/12/2014	Men	Women	Gender not disclosed.
SMF1	n/a	n/a	n/a
SMF3	n/a	n/a	n/a
SMF19	n/a	n/a	n/a
CF1	24541	5977	447
CF2	4899	1300	238
CF3	4877	420	133
CF4	8364	1250	1516
CF5	189	25	13
CF6	88	19	13
CF10	8610	1201	80
CF11	8845	1458	105
All CFs/SMFs/SIMFs	119425	26504	3572

31/12/2013	Men	Women	Gender not disclosed.
SMF1	n/a	n/a	n/a
SMF3	n/a	n/a	n/a
SMF19	n/a	n/a	n/a
CF1	24270	6004	428

CF2	4878	1288	231
CF3	4949	406	126
CF4	8396	1224	1530
CF5	201	27	13
CF6	89	19	13
CF10	8361	1157	75
CF11	8638	1391	98
All CFs/SMFs/SIMFs	117826	25899	3520

Yours sincerely

## **Information Access Team**

Your right to complain under the Act

If you are unhappy with the decision made in relation to your request, you have the right to request an internal review. If you wish to exercise this right you should contact us within three months of the date of this response.

If you are not content with the outcome of the internal review, you also have a right of appeal to the Information Commissioner at Information Commissioner's Office, Wycliffe House, Water Lane, Wilmslow, Cheshire SK9 5AF. Telephone: 01625 545 700. Website: <a href="https://www.ico.org.uk">www.ico.org.uk</a>

## Annex A

"I would like to have the below functions split by gender based on whether the applicant has described themselves as Mr, Ms, Mrs, Miss and not disclosed in their application.

How many SMF1 Holders are women, how many are men, how many did not disclose?

How many SMF3 Holders are women, how many are men, how many did not disclose?

How many SMF19 Holders are women, how many are men, how many did not disclose?

How many Control Function 1 Holders are women, how many are men, how many did not disclose?

How many Control Function 2 Holders are women, how many are men, how many did not disclose?

How many Control Function 3 Holders are women, how many are men, how many did not disclose?

How many Control Function 4 Holders are women, how many are men, how many did not disclose?

How many Control Function 5 Holders are women, how many are men, how many did not disclose?

How many Control Function 6 Holders are women, how many are men, how many did not disclose?

How many Control Function 10 Holders are women, how many are men, how many did not disclose?

How many Control Function 11 Holders are women, how many are men, how many did not disclose?

How many of all registration holders (ie across SMR and CF designations) are women, how many are men, and how many did not disclose?

I would like this data for the Year 2016 (year-end 31st December or whenever is convenient), if possible I would also like the data for previous years 2015, 2014, 2013 (same year end)."