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[via email]

8 March 2017

Our Ref: FOI4986

Dear

Freedom of Information : Right to know request

Thank you for your request under the Freedom of Information Act 2000 (the Act), for information about the number of authorised fund providers. Please refer to Annex A for full details of your request.

Your request has now been considered and I can confirm we hold some of the information you have requested. The FCA does not store any snap shots over time, this means that the 2016 snap shot you have located on our website would have captured the number of authorised fund providers as at the time that snap shot was taken. This means we can only provide you with current figures.

As at 23 February 2017 there were 195 firms who hold the permissions to manage authorised collective investment schemes. Please note that we are unable to split this number by type of fund provider (*Institutional, Boutique and Family Office*). The below table shows the number of authorised funds split by legal structure as at 23 February 2017.

Type of Authorised Fund Provider	
Authorised Unit Trust	713
Co-ownership scheme	12
ICVC/OEICS	641
Total	1366

Additionally you may be interested to see the below table which provides a breakdown of the scheme types as at 23 February 2017:

Authorised Scheme Types	No. Approved (sub-funds of umbrella schemes)	No. Authorised (standalone and umbrella schemes)	Total
Non-UCITS Retail		400	400
Qualified Investor		19	19
Sub-Fund (NURS)	702		702
Sub-Fund (QIS)	31		31
Sub-Fund (UCITS)	1950		1950
UCITS (COLL)		947	947
Grand Total	2683	1366	4049

Yours sincerely

Information Access Team

Annex A

Request received on 8 February 2017:

"I am looking to get hold of the number of authorised fund providers by the FCA going back over time, ideally split by type of fund provider, either (Institutional, Boutique and Family Office).

As well as this, I would also like to see the number of authorised funds going back over time, ideally split by type of fund (OEIC, AUT, ACS, UCITS, NURS).

So far I have only been able to find a single snapshot of the number of authorised funds (not fund providers). The statistics I have seen are a snapshot on your website from 2016. If you have this same snapshot going back over time, please could i request this

2016 snapshot: <https://www.fca.org.uk/firms/authorised-recognised-funds>

For all requests, annual snapshots going back as many years as possible, but at least 5 years, if available."