Telephone: 020 7066 8080 Email: foi@fca.org.uk

[via email]

31 January 2017

Our Ref: FOI4914

Dear

Freedom of Information: Right to know request

Thank you for your request under the Freedom of Information Act 2000 (the Act), for information relating to table 2 of the House of Lords European Union Committee report entitled "Brexit: financial services". For full details of your request please refer to Annex A.

Your request has now been considered and the relevant information is attached in Annex B. When reviewing the spreadsheet you will see that it lists 8703 records, whereas the table referred to in your original request lists 8274 firms. The reason for the difference is that there is a degree of firm duplication in spreadsheet and also that the figures were produced at different times.

If you have any queries then please contact me.

Yours sincerely

Information Access Team

Annex A

Request received on 3 January 2017:

"The House of Lords European Union Committee published a report on 15th December 2016 (HL paper 81) entitled "Brexit: financial services". Contained in Chapter 2 of that report was a table "table 2", which stated the number of firms with at least one passport under each directive. For example, the table stated that under the Alternative Investment Fund Managers Directive, 45 firms have a passport into the UK to provide the services outlined in the directive.

This information was provided to the committee by the Financial Conduct Authority, and I would like to submit a freedom of information request to obtain more information on the data presented in this table.

Specifically, I would like to request a list of the firms by name that currently have a passport to provide services into the UK (the inbound column on the table) under each directive (AIFMD, IMD, MiFID, and so on). I do not require a hard copy of the information as an electronic list will be satisfactory."

Annex B

See attached