Telephone: 020 7066 8080 Email: foi@fca.org.uk

[BY EMAIL] 02 February 2017

Our Ref: FOI4882

Dear

Freedom of Information: Right to know request

Thank you for your request under the Freedom of Information Act 2000 (the Act), for the following information:

"The total number of regulated advice firms that were he (sic) subject to a cyberattack, of which you have been made aware or that have required the involvement of the FCA, in the last 12 months.

If possible, how many of these incidents involved so-called ransom wear (sic).

The total number of cyberattacks recorded on regulated businesses which have required the involvement of the FCA over the last 12 months."

Before considering your request, it is important to know that we have defined 'regulated advice firms" to mean any firm regulated by the FCA who may provide financial advice to private or commercial consumers. This includes Retail Banks, Investment Banks, Wealth Managers, as well as Financial Advisory services.

Your request has been considered. I can confirm we hold the information you have requested.

As at 20 December 2016, in the last 12 months we had received 78 cyber-attack reports which were on financial advice firms, of which 3 were ransomware attacks.

You should be aware that the FCA has no direct involvement in the network defence activities undertaken within these firms, but provided oversight to the appropriateness of the firm responses.

Yours sincerely

Information Access Team