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Centaur Media

[via email]

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Our Ref: FOI4880

Dear

Freedom of Information : Right to know request

Thank you for your request under the Freedom of Information Act 2000 (the Act), for the following information about instances where an introducer has had inappropriate influence on how the authorised firm works:

"I am looking for updated information on the following FOI request I filed earlier in the year:

<https://www.fca.org.uk/publication/foi/foi4679-response.pdf>

Can you please tell me the latest figures for the number of cases seen by the FCA (to end of November 2016) and the number that have progressed to enforcement? Is it possible to break the case number figures down by month?

Also do you have any information on the outcome of the four cases mentioned in the original FOI response that were referred to enforcement?"

Please accept our sincere apologies for the delay in responding to your request. We have now had an opportunity to consider your request and our response is below.

From September 2016 to date we have had twelve new cases of which one has been referred to Enforcement. We have no update on the four cases mentioned in our previous response as these cases are still ongoing.

If you have any queries then please contact me.

Yours sincerely

Information Access Team