Telephone: 020 7066 8080 Email: foi@fca.org.uk

(The Telegraph) December 2016

Our Ref: FOI4799

Dear

Freedom of Information: Right to know request

Thank you for your request under the Freedom of Information Act 2000 (the Act), for information about CF30 and SIF application withdrawal, specifically:

"I would like the FCA's data on the number of CF30 applications that were made but withdrawn before the regulatory decisions committee made a ruling in 2013/14, 2014/15 and 2015/16; the number of CF30 applications withdrawn after the RDC was "minded to refuse" in the same periods; and the number of CF30 applications overall in the same periods."

On 14 November 2016 you refined your request as follows:

"Is it possible to see the figures on both? I'm happy to be more specific if this creates too much work to be eligible as an FOI request, but information about withdrawals at each stage of the process would be most helpful."

Your request has now been considered and the relevant information is attached in Annex A.

I hope you find this helpful.

Yours sincerely

Information Access Team Financial Conduct Authority