Telephone: 020 7066 8080 Email: foi@fca.org.uk

[via email]

November 2016

Our Ref: FOI4788

Dear

Freedom of Information: Right to know request

Thank you for your request under the Freedom of Information Act 2000 (the Act), for the following information:

- "1. Total number of SIPPs sold each quarter
- (a) Advised
- (b) Non-advised
- 2. Number of providers selling:
- (a) Advised
- (b) Non-advised
- (c) Total number of providers each quarter
- 3. In respect of Advised sales:
- (a) Percentage of total sales by the top 5 SIPP providers in each quarter
- (b) Top 5 Provider Sales
- (c) Percentage of total sales by the top 10 SIPP providers in each quarter
- (d) Top 10 Provider Sales
- 4. In respect of Non-advised sales:
- (a) Percentage of total sales by the top 5 SIPP providers in each quarter
- (b) Top 5 Provider Sales
- (c) Percentage of total sales by the top 10 SIPP providers in each quarter
- (d) Top 10 Provider Sales"

Further to our letter of 10 November 2016 we have now had an opportunity to consider your request and our response is below.

Firstly, please accept our sincere apologies for the delay in responding to your request. This is partly because we are currently experiencing a very high volume of incoming requests but also because we were having difficulty in interpreting what specific information you have requested.

Your request has now been considered and we hope the information attached has been interpreted correctly. Should this not be the case and you require anything further please contact us. When reviewing this information please be aware that for the data given in relation "non-advised sales", this relates to sales reported to us as "advised sale unspecified".

Yours sincerely

Information Access Team