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16 September 2016

Our Ref: FOI4688

Dear

Freedom of Information: Right to know request

Thank you for your request under the Freedom of Information Act 2000 (the Act) for the following:

With regard to your response to FoI request FOI4469 (see - <https://www.fca.org.uk/your-fca/documents/foi/foi4469-response>), is it possible to provide details of the 11 convictions from 2015 for unauthorised businesses? I've looked for further information and have found some cases which I believe might be the relevant convictions, but would be grateful if you could advise if the full list of convictions is publicly available, and if so, could provide the details to me.

Your request has now been considered and the relevant information is provided below:

The 11 individuals convicted in 2015 for unauthorised business are as follows:

Date Published	Subject on Final Notice
30-Jan-15	Alex Hope
30-Jan-15	Raj Von Badlo
06-Mar-15	Phillip Boakes
01-Jun-15	Dale Walker
01-Jun-15	Brendan Daley
01-Jun-15	Aaron Petrou
01-Jun-15	Adam Hawkins
01-Jun-15	Daniel Forsyth
01-Jun-15	Ricky Mitchie
01-Jun-15	Scott Crawley
01-Jun-15	Ross Peters

All the outcomes were published by the FCA as press releases - see links to these below:

Alex Hope and Raj Von Badlo <http://www.fca.org.uk/news/alex-hope-and-raj-von-badlo-sentenced-following-fca-prosecution>

Phillip Boakes <http://www.fca.org.uk/news/phillip-boakes-sentenced-following-fca-prosecution>

The other 8 individuals' convictions were published by the FCA on 01-Jun-16
<http://www.fca.org.uk/news/fca-charges-eight-men-in-relation-to-land-banking>

Yours sincerely

Information Access Team
Financial Conduct Authority