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05 July 2016

Our Ref: FO14560

Dear

**Freedom of Information: Right to know request**

Thank you for your request under the Freedom of Information Act 2000 (the Act) for the following information:

1. *Total number of registered advisers UK;*
2. *Total number of registered advisers UK that are chartered;*
3. *Total number of registered advisers UK that are CF30 accredited;*
4. *Total number of registered advisers UK with the prefix/title Miss, Ms, Mrs;*
5. *Total number of advisers Miss, Ms, Mrs registered > 10 years;*
6. *Total number of advisers Miss, Ms, Mrs that are chartered;*
7. *Total number of advisers Miss, Ms, Mrs that are CF30 accredited;*
8. *Total number of independent firms;*
9. *Total number of tied firms.*

On 07 June 2016 you clarified points 1 and 2 as follows.

1. *What do you mean by registered advisers? – those authorised to give financial advice, so CF30-qualified*
2. *What do you mean by Chartered? – those that are Level 6-qualified*

Your request has now been considered. I can confirm that we do hold the information in relation to Points 1,3,4 & 7 which is included in the table below.

	CF30s	Retail Investment Advisers*
Total population	69970	26240
Of which Mrs, Miss, Ms	9358	3310

\*Basis of data - Qualified/part qualified retail investment advisers (as reported to us by authorised firms) who have status of active CF30 approved person.

We also hold the information for point 5 included below:

	CF30s	Retail Investment Advisers
Total > 10 years	37660	19320
Of which Mrs, Miss, Ms	3710	1957

I can also confirm that we hold the information in relation to points 8 & 9 which is included in the following table; we classify retail investment firms as providing independent advice, restricted advice or both types of advice. Aggregate numbers of firms of each type based on information reported to us by firms are:

Independent	4765
Restricted	862
Both	156
Total	5763

In relation to points 2 & 6 we do not hold this information; this is because we do not collect any data on chartered (level 6) advisers as there is no FCA requirement to be level 6 qualified.

Yours sincerely

Information Access Team  
**Financial Conduct Authority**

[Your right to complain under the Act](#)

If you are unhappy with the decision made in relation to your request, you have the right to request an internal review. If you wish to exercise this right you should contact us within three months of the date of this response.

If you are not content with the outcome of the internal review, you also have a right of appeal to the Information Commissioner at Information Commissioner's Office, Wycliffe House, Water Lane, Wilmslow, Cheshire SK9 5AF. Telephone: 01625 545 700. Website: [www.ico.org.uk](http://www.ico.org.uk)