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[via email]

Xx April 2016

Our Ref: FOI4469

Freedom of Information : Right to know request

Thank you for your request under the Freedom of Information Act 2000 (the Act), for the following information:

"I am very interested in the following data, if you are available to provide:

1. The total number of individual convictions (receiving custodial sentences) following investigation from FSA/FCA, for every year going as far back as possible.

If you could provide the total number of convictions for each type of forbidden activity too (i.e. insider trading, money laundering, terrorist financing etc.) this would be very helpful.

2/ Provide data on how many were convicted as part of LIBOR and the number of investigations into this."

Your request has now been considered and I can confirm we hold some information falling within scope of your request and our response is as follows. Our records on criminal convictions only go back as far as 2005 as the first FSA criminal prosecution took place in that year. Please also note there were no convictions for insider dealing prior to 2009 and that there were no convictions for unauthorised business prior to 2011. The below table details the number of convictions as requested.

Year	Total number of convictions	No of convictions for insider dealing	No of convictions for unauthorised business
2015	13	2	11
2014	3	2	1
2013	5	2	3
2012	11	10	1
2011	7	3	4
2010	4	4	-
2009	4	4	-

There were also 2 convictions in 2005 for s.397 Financial Services and Markets Act 2000 ("FSMA") offences. These can be described as "market manipulation" offences. Section 397 FSMA has now been repealed, but the equivalent offence is included in s.89 and 90 of the Financial Services Act 2012.

You may also like to be aware that we have not prosecuted money laundering offences on a standalone basis. In other words any convictions resulting in custodial sentences in relation to money laundering offences will have been ancillary to the prosecution for insider dealing/s.19 offences.

In answer to point 2, criminal prosecutions for LIBOR have been brought by the Serious Fraud Office (SFO), rather than FCA. Therefore we don't hold any responsive information on this part of your request.

If you have any queries then please contact me.

Yours sincerely

Information Access Team