

Telephone: 020 7066 8080  
Email: [foi@fca.org.uk](mailto:foi@fca.org.uk)

**[By Email]**

**December 2015**

Our Ref: **FOI4324**

Dear

**Freedom of Information: Right to know request**

Thank you for your request under the Freedom of Information Act 2000 (the Act), for the following information:

*"Please can I have a list of all SIPP operators that are currently regulated by the FCA. This is for companies who administer/run SIPPs.  
A previous freedom of information act shows that in Q2 2015, there were 95 providers of SIPPs, but I am after a list of each of the companies. CP15/30 states (on page 90) that there are roughly 750,000 new SIPP contracts each year. I'm trying to find out how many providers are included within this specific data, how the number of new contracts are estimated and how the FCA sources this information."*

Your request has been considered and I attach a list of the firms that hold the permissions to be a SIPP operator. Please see attached Annex A.

In regards to the second part of your request, the FCA sources new business data from providers of SIPPs (and other products) on a quarterly basis, as required by our handbook (SUP 16.11) through Product Sales Data (PSD).

We publish the data we collect and the most recent data was published last week on 1/12/2015 and can be found at:

<http://www.fca.org.uk/firms/systems-reporting/product-sales-data/interpreting-the-data>

The SIPP data can be found in the specific link below:

<http://www.fca.org.uk/static/fca/documents/ri-2015-data.xlsx>

In relation to the figures provided in CP15/30, this is a high level estimate of the number of future new SIPPs which might be subject to the proposals on which we are consulting. The starting point for the estimate was the PSD data which was then adjusted to allow

for potential sales of new SIPPs which might arise from pension freedom. As the figure of 750,000 is an estimate of future new SIPPs, it cannot be linked directly to the historic data. We are therefore unable to say how many providers are included in the 750,000 estimated contracts.

Yours sincerely

**Information Access Team**