

## Annex A

FRN	Firm Name	Status (Current)	Regulated Activity
465289	@sipp (Pension Trustees) Ltd	Authorised	Establishing/operating/winding up personal pension
462907	@SIPP LIMITED	Authorised	Establishing/operating/winding up personal pension
211468	A J Bell Management Limited	Authorised	Establishing/operating/winding up personal pension
117645	Abbey Life Assurance Company Limited	Authorised	Establishing/operating/winding up personal pension
464730	Aldgate Trustees Limited	Authorised	Establishing/operating/winding up personal pension
116115	Alliance Trust Savings Limited	Authorised	Establishing/operating/winding up personal pension
461966	Alltrust Services Limited	Authorised	Establishing/operating/winding up personal pension
184915	Aon Consulting Limited	Authorised	Establishing/operating/winding up personal pension
539659	Aon Hewitt Limited	Authorised	Establishing/operating/winding up personal pension
582552	Astute Trustee Services Limited	Authorised	Establishing/operating/winding up personal pension
462105	Attivo Financial Services Limited	Authorised	Establishing/operating/winding up personal pension
190602	Avalon Investment Services Limited	Authorised	Establishing/operating/winding up personal pension
185896	Aviva Life & Pensions UK Limited	Authorised	Establishing/operating/winding up personal pension
465132	Aviva Pension Trustees UK Limited	Authorised	Establishing/operating/winding up personal pension
144849	AXA Portfolio Services Limited	Authorised	Establishing/operating/winding up personal pension
119201	AXA Wealth Limited	Authorised	Establishing/operating/winding up personal pension
465753	AXA Wealth Services Limited	Authorised	Establishing/operating/winding up personal pension
122787	B & C E Financial Services Limited	Authorised	Establishing/operating/winding up personal pension
119184	Barclays Bank Trust Company Limited	Authorised	Establishing/operating/winding up personal pension
115053	Berkeley Burke & Company Limited	Authorised	Establishing/operating/winding up personal pension
483769	Berkeley Burke SIPP Administration Limited	Authorised	Establishing/operating/winding up personal pension
202909	BlackRock Life Limited	Authorised	Establishing/operating/winding up personal pension
465542	Bridgewater Pension Trustees Limited	Authorised	Establishing/operating/winding up personal pension
463682	Brooklands Trustees Limited	Authorised	Establishing/operating/winding up personal pension
124548	Brown Shipley & Co Limited	Authorised	Establishing/operating/winding up personal pension
119274	Butterfield Bank (UK) Limited	Authorised	Establishing/operating/winding up personal pension
458323	BW SIPP LLP	Authorised	Establishing/operating/winding up personal pension
463010	Cabot Trustees Limited	Authorised	Establishing/operating/winding up personal pension
564577	Cambridge May Limited	Authorised	Establishing/operating/winding up personal pension
110394	Canada Life Limited	Authorised	Establishing/operating/winding up personal pension
145540	Capita Life & Pensions Regulated Services Limited	Authorised	Establishing/operating/winding up personal pension
465541	Cardens Pension Trustees Limited	Authorised	Establishing/operating/winding up personal pension
501747	Carey Pensions UK LLP	Authorised	Establishing/operating/winding up personal pension
181655	Clerical Medical Investment Group Limited	Authorised	Establishing/operating/winding up personal pension
119329	Close Asset Management Limited	Authorised	Establishing/operating/winding up personal pension
465748	Corporate & Professional Pensions Limited	Authorised	Establishing/operating/winding up personal pension
124995	Courtiers Investment Services Limited	Authorised	Establishing/operating/winding up personal pension
219808	CPPT Services Limited	Authorised	Establishing/operating/winding up personal pension
492502	Curtis Banks Limited	Authorised	Establishing/operating/winding up personal pension
463171	D P Pensions Limited	Authorised	Establishing/operating/winding up personal pension
146287	David Booler & Company	Authorised	Establishing/operating/winding up personal pension
465550	David Booler SIPP Trustees Limited	Authorised	Establishing/operating/winding up personal pension
461094	Dentons Pension Management Limited	Authorised	Establishing/operating/winding up personal pension
116297	Duncan Lawrie Limited	Authorised	Establishing/operating/winding up personal pension
462002	Ebor Trustees Limited	Authorised	Establishing/operating/winding up personal pension
134908	EBS Management Plc	Authorised	Establishing/operating/winding up personal pension
110318	Ecclesiastical Life Limited	Authorised	Establishing/operating/winding up personal pension
144036	EFG Private Bank Limited	Authorised	Establishing/operating/winding up personal pension
461099	European Pensions Management Limited	Authorised	Establishing/operating/winding up personal pension
186526	FIL Life Insurance Limited	Authorised	Establishing/operating/winding up personal pension
144345	FIL Pensions Management	Authorised	Establishing/operating/winding up personal pension
122169	Financial Administration Services Limited	Authorised	Establishing/operating/winding up personal pension
110414	Friends Life and Pensions Limited	Authorised	Establishing/operating/winding up personal pension
196142	Friends Life Limited	Authorised	Establishing/operating/winding up personal pension
185746	Friends Life Services Limited	Authorised	Establishing/operating/winding up personal pension
488015	Gaudi Regulated Services Limited	Authorised	Establishing/operating/winding up personal pension
483201	Gresham Pension Trustees Limited	Authorised	Establishing/operating/winding up personal pension
229285	Greyfriars Asset Management LLP	Authorised	Establishing/operating/winding up personal pension
110858	Guardian Assurance Limited	Authorised	Establishing/operating/winding up personal pension
463717	Guardian Pension Consultants Ltd	Authorised	Establishing/operating/winding up personal pension
464432	Guinness Mahon Trust Corporation Limited	Authorised	Establishing/operating/winding up personal pension
171881	Halifax Life Limited	Authorised	Establishing/operating/winding up personal pension
209741	Hargreave Hale Limited	Authorised	Establishing/operating/winding up personal pension
115248	Hargreaves Lansdown Asset Management Limited	Authorised	Establishing/operating/winding up personal pension
462564	Harsant Services Limited	Authorised	Establishing/operating/winding up personal pension

465359	HD Administrators LLP	Authorised	Establishing/operating/winding up personal pension
475096	Heritage Pensions Limited	Authorised	Establishing/operating/winding up personal pension
463411	Highgrove Trustees Limited	Authorised	Establishing/operating/winding up personal pension
119297	HSBC Trust Company (UK) Ltd	Authorised	Establishing/operating/winding up personal pension
464270	I.P.M. SIPP Administration Limited	Authorised	Establishing/operating/winding up personal pension
110344	IntegralLife UK Limited	Authorised	Establishing/operating/winding up personal pension
190856	Integrated Financial Arrangements Ltd	Authorised	Establishing/operating/winding up personal pension
219473	Intelligent Money Ltd	Authorised	Establishing/operating/winding up personal pension
188249	Invesco Perpetual Life Limited	Authorised	Establishing/operating/winding up personal pension
518358	Investacc Pension Administration Limited	Authorised	Establishing/operating/winding up personal pension
124537	Investec Wealth & Investment Limited	Authorised	Establishing/operating/winding up personal pension
464135	Investec Wealth & Investment Trustees Limited	Authorised	Establishing/operating/winding up personal pension
114432	Investment Funds Direct Limited	Authorised	Establishing/operating/winding up personal pension
232283	Investments Ltd	Authorised	Establishing/operating/winding up personal pension
461720	IPS Pensions Limited	Authorised	Establishing/operating/winding up personal pension
116362	J.P. Morgan Trustee & Administration Services Limited	Authorised	Establishing/operating/winding up personal pension
122358	J.P. Morgan Trustee and Depository Company Limited	Authorised	Establishing/operating/winding up personal pension
460698	James Hay Administration Company Ltd	Authorised	Establishing/operating/winding up personal pension
407903	JLT Benefit Solutions Ltd	Authorised	Establishing/operating/winding up personal pension
232595	Just Retirement Limited	Authorised	Establishing/operating/winding up personal pension
462016	Killik & Co LLP	Authorised	Establishing/operating/winding up personal pension
146786	Legal & General (Portfolio Management Services) Ltd	Authorised	Establishing/operating/winding up personal pension
117659	Legal & General Assurance Society Limited	Authorised	Establishing/operating/winding up personal pension
476409	Liberty Sipp Limited	Authorised	Establishing/operating/winding up personal pension
110035	Liverpool Victoria Friendly Society Limited	Authorised	Establishing/operating/winding up personal pension
110423	Liverpool Victoria Life Company Limited	Authorised	Establishing/operating/winding up personal pension
463876	London & Colonial Services Limited	Authorised	Establishing/operating/winding up personal pension
423622	LV Life Services Limited	Authorised	Establishing/operating/winding up personal pension
461226	M C Trustees (Pensions) Limited	Authorised	Establishing/operating/winding up personal pension
220743	Mattioli Woods PLC	Authorised	Establishing/operating/winding up personal pension
182554	McDonald Associates Limited	Authorised	Establishing/operating/winding up personal pension
462503	MetLife Pension Trustees Limited	Authorised	Establishing/operating/winding up personal pension
598800	MGM Advantage Life Limited	Authorised	Establishing/operating/winding up personal pension
465762	MJF Pension Trustees Limited	Authorised	Establishing/operating/winding up personal pension
189098	Mobius Life Limited	Authorised	Establishing/operating/winding up personal pension
464521	Momentum Pensions Limited	Authorised	Establishing/operating/winding up personal pension
516447	Morgan Lloyd SIPP Services Limited	Authorised	Establishing/operating/winding up personal pension
458576	MW Pensions Limited	Authorised	Establishing/operating/winding up personal pension
191123	N W Brown & Company Limited	Authorised	Establishing/operating/winding up personal pension
463426	Namulas Pension Trustees Limited	Authorised	Establishing/operating/winding up personal pension
190770	National Provident Life Limited	Authorised	Establishing/operating/winding up personal pension
463402	NM Pensions Trustees Limited	Authorised	Establishing/operating/winding up personal pension
481600	Novia Financial Plc	Authorised	Establishing/operating/winding up personal pension
465715	NSS Trustees Limited	Authorised	Establishing/operating/winding up personal pension
456117	Nucleus Financial Services Ltd	Authorised	Establishing/operating/winding up personal pension
207977	Old Mutual Wealth Life & Pensions Limited	Authorised	Establishing/operating/winding up personal pension
110462	Old Mutual Wealth Life Assurance Limited	Authorised	Establishing/operating/winding up personal pension
486798	Organon SIPP Services Ltd	Authorised	Establishing/operating/winding up personal pension
114512	Origen Investment Services Limited	Authorised	Establishing/operating/winding up personal pension
171200	Pacific Capital Partners Limited	Authorised	Establishing/operating/winding up personal pension
462085	Parmenion Capital Partners LLP	Authorised	Establishing/operating/winding up personal pension
436171	Partnership Life Assurance Company Limited	Authorised	Establishing/operating/winding up personal pension
144519	Pearson Jones Plc	Authorised	Establishing/operating/winding up personal pension
146576	Pershing Securities Ltd	Authorised	Establishing/operating/winding up personal pension
464743	Personal Pension Trustees Limited	Authorised	Establishing/operating/winding up personal pension
117667	Phoenix Life Assurance Limited	Authorised	Establishing/operating/winding up personal pension
110418	Phoenix Life Limited	Authorised	Establishing/operating/winding up personal pension
460707	Pilgrim Trustee Services Ltd	Authorised	Establishing/operating/winding up personal pension
309975	Pointon York Sipp Solutions Limited	Authorised	Establishing/operating/winding up personal pension
110050	Police Mutual Assurance Society Limited	Authorised	Establishing/operating/winding up personal pension
189480	PSFM SIPP Limited	Authorised	Establishing/operating/winding up personal pension
514654	PSG SIPP Limited	Authorised	Establishing/operating/winding up personal pension
110495	ReAssure Limited	Authorised	Establishing/operating/winding up personal pension
410550	Redswan Limited	Authorised	Establishing/operating/winding up personal pension
465573	Robert Graham Trustees Limited	Authorised	Establishing/operating/winding up personal pension
427234	Rockingham Independent Limited	Authorised	Establishing/operating/winding up personal pension
466067	Rothsay Life Ltd	Authorised	Establishing/operating/winding up personal pension
458260	Rowanmoor Personal Pensions Limited	Authorised	Establishing/operating/winding up personal pension
122246	Royal London (CIS) Limited	Authorised	Establishing/operating/winding up personal pension
472783	Sanlam Financial Services UK Limited	Authorised	Establishing/operating/winding up personal pension
110397	Sanlam Life & Pensions UK Limited	Authorised	Establishing/operating/winding up personal pension

106054	Santander UK Plc	Authorised	Establishing/operating/winding up personal pension
165548	Scottish Equitable Plc	Authorised	Establishing/operating/winding up personal pension
110002	Scottish Friendly Assurance Society Limited	Authorised	Establishing/operating/winding up personal pension
457058	Scottish Friendly Insurance Services Ltd	Authorised	Establishing/operating/winding up personal pension
191517	Scottish Widows Plc	Authorised	Establishing/operating/winding up personal pension
496250	Sippchoice Ltd	Authorised	Establishing/operating/winding up personal pension
180563	Smith & Williamson Financial Services Limited	Authorised	Establishing/operating/winding up personal pension
189101	St Andrew's Life Assurance Plc	Authorised	Establishing/operating/winding up personal pension
150026	St James's Place UK plc	Authorised	Establishing/operating/winding up personal pension
464763	Stadia Trustees Ltd	Authorised	Establishing/operating/winding up personal pension
439567	Standard Life Assurance Limited	Authorised	Establishing/operating/winding up personal pension
191278	Sterling ISA Managers Limited	Authorised	Establishing/operating/winding up personal pension
110468	Suffolk Life Annuities Limited	Authorised	Establishing/operating/winding up personal pension
116298	Suffolk Life Pensions Limited	Authorised	Establishing/operating/winding up personal pension
462015	Talbot and Muir SIPP LLP	Authorised	Establishing/operating/winding up personal pension
114619	Taylor Patterson Associates Limited	Authorised	Establishing/operating/winding up personal pension
110009	Teachers Provident Society Limited	Authorised	Establishing/operating/winding up personal pension
465194	The Freedom SIPP Limited	Authorised	Establishing/operating/winding up personal pension
120820	The Hornbuckle Mitchell Group Limited	Authorised	Establishing/operating/winding up personal pension
115135	The IPS Partnership plc	Authorised	Establishing/operating/winding up personal pension
464526	The Lifetime Sipp Company Ltd	Authorised	Establishing/operating/winding up personal pension
117664	The National Farmers' Union Mutual Insurance Society Limited	Authorised	Establishing/operating/winding up personal pension
542308	The Pensions Partnership LLP	Authorised	Establishing/operating/winding up personal pension
139793	The Prudential Assurance Company Limited	Authorised	Establishing/operating/winding up personal pension
117672	The Royal London Mutual Insurance Society Limited	Authorised	Establishing/operating/winding up personal pension
110464	The Standard Life Assurance Company 2006	Authorised	Establishing/operating/winding up personal pension
110337	Threadneedle Pensions Limited	Authorised	Establishing/operating/winding up personal pension
465128	Towry Pension Trustees Limited	Authorised	Establishing/operating/winding up personal pension
527444	True Potential Investments LLP	Authorised	Establishing/operating/winding up personal pension
462090	UPTTEL Limited	Authorised	Establishing/operating/winding up personal pension
417367	Wealth At Work Limited	Authorised	Establishing/operating/winding up personal pension
468461	Wealthtime Limited	Authorised	Establishing/operating/winding up personal pension
462660	Wensley Mackay Limited	Authorised	Establishing/operating/winding up personal pension
110873	Wesleyan Assurance Society	Authorised	Establishing/operating/winding up personal pension
165116	Wesleyan Bank Limited	Authorised	Establishing/operating/winding up personal pension
463533	Westerby Trustee Services Limited	Authorised	Establishing/operating/winding up personal pension
517235	Whitefoord LLP	Authorised	Establishing/operating/winding up personal pension
461791	Xafinity SIPP Services Limited	Authorised	Establishing/operating/winding up personal pension
464198	Yorsipp Limited	Authorised	Establishing/operating/winding up personal pension
147672	Zurich Assurance Ltd	Authorised	Establishing/operating/winding up personal pension