

24 October 2019

Our Ref: FOI6818

Freedom of Information: Right to know request

Thank you for your request under the Freedom of Information Act 2000 (the "Act"), dated 3rd October 2019, for the following information. We have numbered your request for ease of reference.

"I would like to follow up on a previous FOI request made the FCA (ref FOI 6494).

1. Please can you provide the most recent figures (month end or year to date) showing the number of cyber incidents reported to the FCA and broken down by sector.

2. Please could you also provide up to date figures and a separate breakdown of incidents for cyber-attacks (the second chart provided in Annex B of FOI 6494)."

Your request has now been considered and our response is set out below.

1. Cyber Incidents reported to the FCA From Q2 2018/19 to end of Q1 2019/20 (1st July 2018 to 30th June 2019)
 - The total number of incidents reported to the FCA during the above period was 845. Within this figure the total number relating to Cyber incidents was 121.
 - Please note, as requested we have only provided incident detail relating to cyber incidents reported to the FCA. In our previous response in relation to FOI6494 the FCA provided details on all incidents reported to the FCA as well as details of cyber incident breakdowns.
 - The below chart provides a breakdown of Cyber incidents reported to the FCA by firms for the given time period, broken down by sector;

Cyber Incidents by Sector	Incident Count
Retail Lending	24
Retail Investments	22
Retail Banking	20
General Insurance & Protection	18
Wholesale Financial Markets	16
Investment Management	16
Pensions & Retirement Income	5
Grand Total	121

2. The below chart provides a breakdown of Cyber incidents by root cause details, provided to the FCA by firms for the given time period;

Cyber Root Cause Breakdown	Incident Count
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Cyber - Phishing / Credential compromise	66
Cyber - Ransomware	14
3rd Party - Cyber Attack	13
Cyber - Malware / Malicious code	13
Cyber - DDOS	11
Cyber - Root Cause of Attack Unknown	3
Cyber - Root Cause Under Investigation	1
Grand Total	121

We believe this should satisfy your request, however if you are unhappy with the decision made in relation to your request, you have the right to request an internal review. If you wish to exercise this right you should contact the Information Disclosure Team within 40 working days of the date of this response.

If you are not content with the outcome of the internal review, you also have a right of appeal to the Information Commissioner at Information Commissioner's Office, Wycliffe House, Water Lane, Wilmslow, Cheshire SK9 5AF. Telephone: 01625 545 700. Website: www.ico.org.uk.

Yours sincerely

Information Disclosure Team