

Email: foi@fca.org.uk

4 November 2019

Our Ref: FOI6755

Freedom of Information : Right to know request

We refer to your request of 6 September 2019, under the Freedom of Information Act 2000 ("the Act"), for the following information:

"I would like to know in reference to a previous FOI request your ref: FOI5560:

- *The number of Significant Influence Function" (SIF) interviews that have been conducted by the FCA, as well as those where the FCA and PRA have jointly interviewed (as explained by yourselves in the above referenced FOI response).*

I would like this data broken down by quarter for the last five years for which the data is available. Please use the most recent quarter-end date possible – i.e. June 30 2019.

If data for the last five years is not possible, I would like the data for the last three years also broken down by quarter"

Please accept our sincere apologies for the delay in responding to you.

Before considering your request, please be aware the information for 2014 – 2015 is not available in a readily extractable format and would require a significant effort to extract this information. As you have said in your request *"if the full 5 years of information is not available please provide 3 years of information"*, we hope by providing 3 years of information will therefore satisfy your request.

Turning to your request, the following table sets out the information requested for the three year period

Calendar year	Number of interviews conducted	Number of FCA Led Interviews
2016		
Q1	32	18
Q2	31	14
Q3	36	19
Q4	39	12
Total	138	63
2017		
Q1	58	23
Q2	44	24
Q3	38	18
Q4	43	15
Total	183	80
2018		
Q1	59	33
Q2	59	26
Q3	55	21
Q4	60	37
Total	233	117

If you are unhappy with the decision made in relation to your request, you have the right to request an internal review. If you wish to exercise this right you should contact us within 40 working days of the date of this response.

If you are not content with the outcome of the internal review, you also have a right of appeal to the Information Commissioner at Information Commissioner's Office, Wycliffe House, Water Lane, Wilmslow, Cheshire SK9 5AF. Telephone: 01625 545 700. Website: www.ico.org.uk

Yours sincerely

Information Disclosure Team