

FINAL NOTICE DATED 6 January 2009

Mr Nigel Alan Rees

SUMMARY OF THE MATTER TO WHICH THE NOTICE RELATES

Date of issue: 14 January 2009

On 21 November 2008, the FSA decided to refuse an application made by Hartley Greatbatch & Co under Section 60 of the Financial Services and Markets Act ('the Act'). This application was for the approval of Mr Nigel Alan Rees to perform the customer function (controlled function 30).

We were not satisfied that Mr Rees is a fit and proper person to perform the controlled function applied for (section 61(1) of the Act), as he did not demonstrate that he satisfied the honesty, integrity and reputation criteria.

Following the issuance of the Decision Notice of 21 November 2008, and Mr Rees having not referred the matter to the Financial Services and Markets Tribunal within the time limit as specified under section 133(1) of the Act, the FSA issued Final Notices to Hartley Greatbatch & Co and Mr Rees.