
FINAL NOTICE

To: **Malcolm Green**

Of: **HMP Ranby**
Retford
Nottinghamshire
DN22 8EU

Individual
Reference Number: MXG00041

Dated: **9 November 2012**

ACTION

1. For the reasons set out in this Final Notice, the Financial Services Authority (the “FSA”) hereby takes the following action against Malcolm Green.
2. The FSA gave Malcolm Green a Decision Notice on 3 October 2012 (the “Decision Notice”), which notified Malcolm Green that, for the reasons given below and pursuant to section 56 of the Financial Services and Markets Act 2000 (the “Act”), the FSA had decided to make an order prohibiting him from performing any function in relation to any regulated activity carried on by any authorised person, exempt person or exempt professional firm (the “Prohibition Order”).
3. Malcolm Green has not referred the matter to the Upper Tribunal (Tax and Chancery Division) within 28 days of the date on which the Decision Notice was given to him.
4. Accordingly, for the reasons set out below, the FSA hereby makes an order pursuant to section 56 of the Act prohibiting Malcolm Green from performing any function in relation to any regulated activity carried on by any authorised person, exempt person or exempt professional firm. The Prohibition Order takes effect from 9 November 2012.

/cont...

REASONS FOR THE ORDER

5. The FSA has concluded, on the basis of the facts and matters and conclusions described in the Warning Notice issued to Malcolm Green on 20 August 2012, and in the Decision Notice, that Malcolm Green is not a fit and proper person to perform any functions as his conduct demonstrates a lack of honesty and integrity. Specifically, on 30 January 2012, Malcolm Green was convicted of 20 counts of obtaining a money transfer by deception, 19 counts of fraud by abuse of position and 3 counts of false accounting, for which he was sentenced on 24 February 2012 to 5 years and 6 months imprisonment.

DECISION MAKER

6. The decision which gave rise to the obligation to give this Final Notice was made by the Regulatory Decisions Committee.
7. This Final Notice is given to Malcolm Green in accordance with section 390(1) of the Act.

PUBLICITY

8. Sections 391(4), 391(6) and 391(7) of the Act apply to the publication of information about the matter to which this Final Notice relates. Under those provisions, the FSA must publish such information about the matter to which this Final Notice relates as the FSA considers appropriate. The information may be published in such manner as the FSA considers appropriate. However, the FSA may not publish information if such publication would, in the opinion of the FSA, be unfair to Malcolm Green or prejudicial to the interests of consumers.
9. The FSA intends to publish this Final Notice and such information about the matter to which this Final Notice relates as it considers appropriate.

FSA CONTACT

10. For more information concerning this matter generally, please contact Stephanie Prowse at the FSA (direct line: 020 7066 9404/ fax: 020 7066 9405).

John Kirby
FSA Enforcement and Financial Crime Division