
FINAL NOTICE

To: **Kawasaki (Newcastle-upon-Tyne) Limited**

Of: **195-199 Scotswood Road
Newcastle-upon-Tyne
NE4 7DD**

Dated: **23 May 2007**

TAKE NOTICE: The Financial Services Authority (the "FSA") of 25 The North Colonnade, Canary Wharf, London E14 5HS gives you final notice about a decision to cancel the permission granted to Kawasaki (Newcastle-upon-Tyne) Limited to carry on regulated activities.

1. ACTION

- 1.1 The FSA gave Kawasaki (Newcastle-upon-Tyne) Limited ("Kawasaki") a Decision Notice on 18 April 2007 (the "Decision Notice") which notified Kawasaki that for the reasons given below and pursuant to section 45 of the Financial Services and Markets Act 2000 (the "Act"), the FSA had decided to cancel the permission granted to Kawasaki pursuant to Part IV of the Act ("Kawasaki's Part IV permission").
- 1.2 Kawasaki was informed of its statutory right to make a reference to the Financial Services and Markets Tribunal, but it has not referred the Decision Notice to the Tribunal within 28 days of the date on which the Decision Notice was given to Kawasaki. Accordingly, the FSA has today cancelled Kawasaki's Part IV Permission.

2. REASONS FOR ACTION

- 2.1 On the basis of the facts and matters and conclusions described in its Warning Notice dated 6 March 2007 (the "Warning Notice"), and in the Decision Notice, it appears to the FSA that it is no longer necessary to keep Kawasaki's Part IV permission in force and that the FSA must cancel it, following variation action removing all regulated activities. A copy of the First Supervisory Notice issued to Kawasaki on 6 March 2007, by which the FSA removed all regulated activities from Kawasaki's Part IV permission, is displayed on the FSA's web site.

3. IMPORTANT

- 3.1 This Final Notice is given to you in accordance with section 390(1) of the Act.

Publicity

- 3.2 Sections 391(4), 391(6) and 391(7) of the Act apply to the publication of information about the matter to which this Final Notice relates. Under those provisions, the FSA must publish such information about the matter to which this Final Notice relates as the FSA considers appropriate. The information may be published in such manner as the FSA considers appropriate. However, the FSA may not publish information if such publication would, in the opinion of the FSA, be unfair to you or prejudicial to the interests of consumers.

FSA Contact

- 3.3 For more information concerning this matter generally, you should contact Lehong Mac at the FSA (direct line: 020 7066 5742/fax: 020 7066 1459).

John Kirby
FSA Enforcement Division