
FINAL NOTICE

To: **James Ferguson Financial Services**

Of: **Bank Chambers
151-153 Holywood Road
Victoria Gardens
Belfast
BT4 3BE**

Dated: **2 November 2005**

TAKE NOTICE: The Financial Services Authority ("the FSA") of 25 The North Colonnade, Canary Wharf, London E14 5HS gives you final notice about a decision to cancel the permission granted to James Ferguson Financial Services, to carry on regulated activities.

1. ACTION

The FSA gave James Ferguson Financial Services ("JFFS") a Decision Notice on 27 September 2005 ("the Decision Notice") which notified JFFS that for the reasons given below and pursuant to section 45 of the Financial Services and Markets Act 2000 ("the Act"), the FSA had decided to cancel the permission granted to JFFS pursuant to Part IV of the Act ("the Part IV permission").

JFFS was informed of its statutory right to make a reference to the Financial Services and Markets Tribunal, but JFFS has not referred the Decision Notice to the Tribunal within 28 days of the date on which the Decision Notice was given to JFFS. Accordingly, the FSA has today cancelled the Part IV Permission.

2. REASONS FOR ACTION

On the basis of the facts and matters and conclusions described in its Warning Notice dated 18 August 2005 ("the Warning Notice"), and in the Decision Notice, it appears to the FSA that it is no longer necessary to keep JFFS' Part IV permission in force and that the FSA must cancel it, following variation action removing all regulated activities. A copy of the First Supervisory Notice issued to you on 18 August 2005, by which the FSA removed all regulated activities from JFFS' Part IV permission, is displayed on the FSA's web site.

3. IMPORTANT

This Final Notice is given to JFFS in accordance with section 390(1) of the Act.

Publicity

Sections 391(4), 391(6) and 391(7) of the Act apply to the publication of information about the matter to which this Final Notice relates. Under those provisions, the FSA must publish such information about the matter to which this Final Notice relates as the FSA considers appropriate. The information may be published in such manner as the FSA considers appropriate. However, the FSA may not publish information if such publication would, in the opinion of the FSA, be unfair to you or prejudicial to the interests of consumers.

The FSA intends to publish such information about the matter to which this Final Notice relates as it considers appropriate.

FSA Contact

For more information concerning this matter generally, you should contact Lehong Mac at the FSA (direct line: 020 7066 5742/fax: 020 7066 9721).

John Kirby
Manager - FSA Enforcement Division