## **Financial Services Authority**



## FINAL NOTICE DATED 19 June 2009

Mr James Alexander Moore

## SUMMARY OF THE MATTER TO WHICH THE NOTICE RELATES

Date of issue: 29 June 2009

On 14 May 2009, the FSA decided to refuse an application made by Redburn Partners LLP under Section 60 of the Financial Services and Markets Act ('the Act'). This application was for the approval of Mr James Alexander Moore to perform the customer function (controlled function 30).

We were not satisfied that Mr Moore is a fit and proper person to perform the controlled function applied for (section 61(1) of the Act), as he did not demonstrate that he satisfied the honesty, integrity and reputation criteria.

Following the issuance of the Decision Notice of 14 May 2009, and Mr Moore having not referred the matter to the Financial Services and Markets Tribunal within the time limit as specified under section 133(1) of the Act, the FSA issued Final Notices to Redburn Partners LLP and Mr Moore.