
FINAL NOTICE

To: **Health and General Limited**

Of: **Suite 352
8 Shepherd Market
London
W1J 7JY**

**FSA Reference
Number:** **315516**

Dated: **17 October 2011**

1. ACTION

- 1.1 The Financial Services Authority of 25 The North Colonnade, Canary Wharf, London E14 5HS (the “FSA”) gave Health and General Limited a Decision Notice on 8 September 2011 (the “Decision Notice”) which notified it that for the reasons given below and pursuant to section 45 of the Financial Services and Markets Act 2000 (the “Act”), the FSA had decided to cancel the permission granted to it under Part IV of the Act (“Health and General Limited’s Part IV permission”).
- 1.2 Health and General Limited has not referred the matter to the Financial Services and Markets Tribunal within 28 days of the date on which the Decision Notice was given to it. Accordingly, the FSA has today cancelled Health and General Limited’s Part IV permission.

2. REASONS FOR ACTION

- 2.1 On the basis of the facts and matters and conclusions described in the Warning Notice issued to it on 19 July 2011 (the “Warning Notice”), and in the Decision Notice,

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Health and General Limited has not conducted any regulated activity since 27 January 2005 and, the FSA having given it the opportunity to do so, Health and General Limited has declined to apply to cancel its permission. The FSA is authorised by section 45(1)(b) of the Act to cancel an authorised firm's permission where such a firm has failed, during a period of at least 12 months, to conduct any regulated activity for which it has Part IV permission.

3. DECISION MAKER

The decision which gave rise to the obligation to give this Final Notice was made by the Regulatory Decisions Committee.

4. IMPORTANT

- 4.1 This Final Notice is given to Health and General Limited in accordance with section 390(1) of the Act.

Publicity

- 4.2 Sections 391(4), 391(6) and 391(7) of the Act apply to the publication of information about the matter to which this Final Notice relates. Under those provisions, the FSA must publish such information about the matter to which this Final Notice relates as the FSA considers appropriate. The information may be published in such manner as the FSA considers appropriate. However, the FSA may not publish information if such publication would, in the opinion of the FSA, be unfair to Health and General Limited or prejudicial to the interests of consumers.
- 4.3 The FSA intends to publish this Final Notice and such information about the matter to which this Final Notice relates as it considers appropriate

FSA Contact

- 4.4 For more information concerning this matter generally, please contact Alexander Banerjea at the FSA (direct line: 020 7066 7206 / fax: 020 7066 7207).

John Kirby
FSA Enforcement and Financial Crime Division