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**FINAL NOTICE**

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To: **Harvey Parker Stenning Plc**  
Of: **21/22 Park Way**  
**Newbury**  
**Berkshire**  
**RG14 1EE**

Dated: **10 March 2006**

**TAKE NOTICE: The Financial Services Authority (the "FSA") of 25 The North Colonnade, Canary Wharf, London E14 5HS gives you final notice about a decision to cancel the permission granted to Harvey Parker Stenning Plc, to carry on regulated activities.**

**1. ACTION**

The FSA gave Harvey Parker Stenning Plc ("HPSP") a Decision Notice dated 13 December 2005 (the "Decision Notice") which notified HPSP that for the reasons given below and pursuant to section 45 of the Financial Services and Markets Act (the "Act"), the FSA had decided to cancel the permission granted to HPSP pursuant to Part IV of the Act ("HPSP's Part IV permission").

**2. REASONS FOR ACTION**

2.1 On the basis of the facts and matters and conclusions described in its Warning Notice dated 6 October 2005 (the "Warning Notice"), and in the Decision Notice, it appears to the FSA that HPSP is failing to satisfy the threshold conditions set out in Schedule 6 to the Act, in that, in opinion of the FSA, the resources of HPSP are not adequate in relation to the regulated activities it carries on and it has not demonstrated that its affairs are conducted in compliance with proper standards.

2.2 On 9 January 2006, HPSP exercised its right to make a reference to the Financial Services and Markets Tribunal (the "Tribunal"), about the matters contained in the Decision Notice. HPSP withdrew its reference to the Tribunal on 24 January 2006. Subsequently HPSP has been unable to demonstrate that it has brought itself into compliance with the relevant regulatory capital requirements to which it is subject. HPSP has also not filed its audited accounts for 2003 or 2004, or submitted Annual Questionnaires to the FSA for 2002, 2003 or 2004. HPSP remains in breach of the Threshold Conditions.

2.3 Accordingly, the FSA has today cancelled HPSP's Part IV permission.

### **3. IMPORTANT**

3.1 This Final Notice given to you in accordance with section 390 of the Act.

#### **Publicity**

3.2 Sections 391(4), 391(6) and 391(7) of the Act apply to the publication of information about the matter to which this notice relates. Under those provisions, the FSA must publish such information about the matter to which this notice relates as the FSA considers appropriate. The information may be published in such manner as the FSA considers appropriate. However, the FSA may not publish information if such publication would, in the opinion of the FSA, be unfair to you or prejudicial to the interests of consumers.

3.3 The FSA intends to publish such information about the matter to which this Final Notice relates as it considers appropriate.

#### **FSA contact**

3.4 For more information concerning this matter, you should contact Richard Quinnell at the FSA (direct line: 020 7066 9168 /fax: 020 7066 9721).

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**John Kirby**  
**Manager - FSA Enforcement Division**