
FINAL NOTICE

To: **Daren Mitchell Hales trading as Mitchell and Company**

Of: **Cornwallis House
Howard Chase
Basildon
Essex
SS14 3BB**

FSA Reference
Numbers: **Individual Reference DMH01150 / Firm Reference 315431**

Dated: **19 February 2009**

TAKE NOTICE: The Financial Services Authority (the "FSA") of 25 The North Colonnade, Canary Wharf, London E14 5HS gives you, Daren Mitchell Hales trading as Mitchell and Company, final notice about a decision to cancel the permission granted to you to carry on regulated activities

1. ACTION

- 1.1 The FSA gave you a Decision Notice on 15 January 2009 (the "Decision Notice") which notified you that for the reasons given below and pursuant to section 45 of the Financial Services and Markets Act 2000 (the "Act"), the FSA had decided to cancel the permission granted to you pursuant to Part IV of the Act ("your permission").
- 1.2 You were informed of your statutory right to make a reference to the Financial Services and Markets Tribunal, but you have not referred the Decision Notice to the

Tribunal within 28 days of the date on which the Decision Notice was given to you. Accordingly, the FSA has today cancelled your permission.

2. REASONS FOR ACTION

On the basis of the facts and matters and conclusions described in its Warning Notice dated 2 December 2008 (the "Warning Notice") and in the Decision Notice, you have not conducted any regulated activity for a period of over 12 months. The FSA is authorised by section 45(1)(b) of the Act to cancel an authorised person's permission where such a person has failed, during a period of at least 12 months, to conduct any regulated activity for which he has Part IV permission.

3. DECISION MAKER

The decision which gave rise to the obligation to issue this Final Notice was taken by the Regulatory Decisions Committee.

4. IMPORTANT

4.1 This Final Notice is given to you in accordance with section 390(1) of the Act. The Final Notice is being sent to the address you provided to the FSA for the service of notices.

Publicity

4.2 Sections 391(4), 391(6) and 391(7) of the Act apply to the publication of information about the matter to which this Final Notice relates. Under those provisions, the FSA must publish such information about the matter to which this Final Notice relates as the FSA considers appropriate. The information may be published in such manner as the FSA considers appropriate. However, the FSA may not publish information if such publication would, in the opinion of the FSA, be unfair to you or prejudicial to the interests of consumers.

4.3 The FSA intends to publish such information about the matter to which this Final Notice relates as it considers appropriate.

FSA Contact

4.4 For more information concerning this matter generally, you should contact Martin Badcock at the FSA (direct line: 020 7066 1560/fax: 020 7066 1561).

John Kirby
FSA Enforcement Division