

FINAL NOTICE – SUMMARY OF CONTENTS

Date of issue: 23 March 2005

Connex Financial Services Limited

The FSA has refused an application for Part IV Permission from Connex Financial Services Limited (“CFSL”) which applied to carry on business under the FSA’s regulatory regime for mortgage and general insurance.

The application was refused as the firm did not provide a complete application and the FSA could not determine whether CFSL satisfies and will continue to satisfy the threshold conditions set out in Schedule 6 to the Act (“the threshold conditions”).

CFSL did not take the opportunity to refer the matter to the independent Financial Services and Markets Tribunal.