

# FINAL NOTICE

To: Anthony Gott

Of: 23 Riverside Court Rawcliffe Goole East Yorkshire DN14 8TD

Individual Reference Number: AXG00045

Dated: 22 October 2012

# ACTION

- 1. For the reasons set out in this Final Notice, the Financial Services Authority (the "FSA") hereby takes the following action against Anthony Gott ("Anthony Gott").
- 2. The FSA gave Anthony Gott a Decision Notice on 22 August 2012 (the "Decision Notice"), which notified Anthony Gott that, for the reasons given below and pursuant to section 56 of the Financial Services and Markets Act 2000 (the "Act"), the FSA had decided to make an order prohibiting him from performing any function in relation to any regulated activity carried on by any authorised person, exempt person or exempt professional firm (the "Prohibition Order").
- 3. Anthony Gott has not referred the matter to the Upper Tribunal (Tax and Chancery Division) within 28 days of the date on which the Decision Notice was given to him.
- 4. Accordingly, for the reasons set out below, the FSA hereby makes an order pursuant to section 56 of the Act prohibiting Anthony Gott from performing any function in relation to any regulated activity carried on by any authorised person, exempt person or exempt professional firm. The Prohibition Order takes effect from 22 October 2012.

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#### **REASONS FOR THE ORDER**

5. The FSA has concluded, on the basis of the facts and matters and conclusions described in the Warning Notice issued to Anthony Gott on 13 July 2012, and in the Decision Notice, that Anthony Gott is not a fit and proper person to perform any functions as his conduct demonstrates a lack of honesty and integrity. Specifically, on 28 September 2011, Anthony Gott was convicted of three counts of obtaining a money transfer by deception, for which he was sentenced on 10 February 2012 to 24 months imprisonment, suspended for 24 months with a curfew requirement.

#### **DECISION MAKER**

- 6. The decision which gave rise to the obligation to give this Final Notice was made by the Regulatory Decisions Committee.
- 7. This Final Notice is given to Anthony Gott in accordance with section 390(1) of the Act.

## PUBLICITY

- 8. Sections 391(4), 391(6) and 391(7) of the Act apply to the publication of information about the matter to which this Final Notice relates. Under those provisions, the FSA must publish such information about the matter to which this Final Notice relates as the FSA considers appropriate. The information may be published in such manner as the FSA considers appropriate. However, the FSA may not publish information if such publication would, in the opinion of the FSA, be unfair to Anthony Gott or prejudicial to the interests of consumers.
- 9. The FSA intends to publish this Final Notice and such information about the matter to which this Final Notice relates as it considers appropriate.

## FSA CONTACT

10. For more information concerning this matter generally, please contact Stephanie Prowse at the FSA (direct line: 020 7066 9404/ fax: 020 7066 9405).

John Kirby FSA Enforcement and Financial Crime Division