
FINAL NOTICE

To: **Amerindo Advisors (UK) Limited**

Of: **c/o Leonard Curtis & Company
1 Great Cumberland Place
London
W1H 7LW**

Dated: **8 September 2006**

TAKE NOTICE: The Financial Services Authority (the "FSA") of 25 The North Colonnade, Canary Wharf, London E14 5HS gives you final notice about a decision to cancel the permission granted to you, Amerindo Advisors (UK) Limited, to carry on regulated activities.

1. ACTION

- 1.1 The FSA gave you a Decision Notice (the "Decision Notice") on 28 July 2006 which notified you that, for the reasons given below and pursuant to section 45 of the Financial Services and Markets Act 2000 (the "Act"), the FSA had decided to cancel the permission granted to you pursuant to Part IV of the Act ("your Part IV permission").
- 1.2 You have not referred the matter to the Financial Services and Markets Tribunal within 28 days of the date on which the Decision Notice was given to you. Accordingly, the FSA has today cancelled your Part IV permission.

2. REASONS FOR THE ACTION

On the basis of the facts and matters and conclusions described in its Warning Notice dated 8 June 2006 (the "Warning Notice"), and in the Decision Notice, it appears to the FSA that it is no longer necessary to keep your Part IV permission in force and that the FSA must cancel it, following variation action removing all regulated activities. A copy

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of the First Supervisory Notice issued to you on 3 June 2005, by which the FSA removed all regulated activities from your Part IV permission, is displayed on the FSA's web site.

3. IMPORTANT

3.1 This Final Notice is given to you in accordance with section 390(1) of the Act.

Publicity

3.2 Sections 391(4), 391(6) and 391(7) of the Act apply to the publication of information about the matter to which this Final Notice relates. Under those provisions, the FSA must publish such information about the matter to which this Final Notice relates as the FSA considers appropriate. The information may be published in such manner as the FSA considers appropriate. However, the FSA may not publish information if such publication would, in the opinion of the FSA, be unfair to you or prejudicial to the interests of consumers.

FSA contacts

3.3 For more information concerning this matter generally, you should contact Kerry Lavender at the FSA (direct line: 020 7066 9174 / fax: 020 7066 9721).

John Kirby
FSA Enforcement Division