

FSCS class SE02 – home finance intermediation

Legal basis

- *arranging (bringing about) a home finance transaction;*
- *making arrangements with a view to a home finance transaction;*
- *advising on home finance transactions;*
- *the activities of a home finance provider which would be arranging but for article 28A of the Regulated Activities Order (Arranging contracts or plans to which the arranger is party);*
- *agreeing to carry on a regulated activity which is within any of the above.*

Tariff base - SE02 – annual eligible income

You're required to give your *Annual Eligible Income* calculated in line with FCA fee-block A18 in **Part 2** of [FEES 4 Annex 1R](#) for your financial year that ended in 2016.

- [Annual eligible income](#)

The data you submit here calculates your FSCS levy for home finance mediation business. You can tailor the annual income you report for FSCS class SE02. This means we will base your levy for this class on annual income from business conducted with or for the benefit of eligible claimants and is and is otherwise attributable to compensable business or include all such income.

'*Annual Eligible Income*' refers to income received only from an eligible claimant. An 'eligible claimant' is a person who is eligible to claim for compensation under [COMP 4.2.1R](#). It may benefit you to report tailored income if there is a material difference between your total annual income and eligible income.

For more information on this, including which regulated activities place firms into the above sub-class, please refer to the Fees manual ([FEES](#)) of the Handbook

Please note the criteria has changed for classifying the size of small business relating to [COMP 4.2.2R](#)

Relevant Links

<http://www.legislation.gov.uk/ukpga/2006/46/section/382>

http://www.legislation.gov.uk/uksi/2015/980/pdfs/uksi_20150980_en.pdf

FSCS exemptions

e-mail: feetariffreturns@fca.org.uk	Tel: 0300 500 0597	Mail: FCA - Revenue Dept. Tariff Data Collection 25 The North Colonnade Canary Wharf London E14 5HS
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FSA FEES AND LEVIES 2017/18– REQUEST FOR FEE TARIFF DATA

If you have a valid FSCS exemption it will be noted on the tariff data form and you will not need to submit any data. Is your firm exempt from the FSCS? If so, complete a FSCS exemption form, you can find them on the [FSCS page](#) of our website.

Notes

- When completing the tariff data form, you should give all monetary values in British £.
- You should submit all monetary data for your financial year end 2016.
- Do not leave blanks (enter NIL on the paper form or alternatively '0' when submitting on line where applicable)
- You can notify us of FSCS/FOS exemptions using the [forms available](#) from our website. If you want the exemption to be effective for 2017/18, you must make sure we receive the exemption form by **31 March 2017**, we will not exempt a firm from FSCS levies for any notifications received after this date for the 2017/18 fee year. If you are currently exempt, you do not need to reapply as the exemption will continue. If you are exempt from the FSCS you will still pay a levy towards FSCS base costs.
- If you need more help or information on completing your FSCS data, call us on 0300 500 0597 and speak to your supervisor, or email us at feetariffreturns@fca.org.uk
- Make sure your fee tariff data form has been signed off at the appropriate level of authority (e.g. by a compliance officer) and dated before you submit it to us.
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