

FSCS class SC02 – life and pensions intermediation

Legal basis

- *dealing in investments as agent;*
- *arranging (bringing about) deals in investments;*
- *making arrangements with a view to transactions in investments;*
- *assisting in the administration and performance of a contract of insurance;*
- *advising on investments;*
- *advising on pension transfers and pension opt-outs;*
- *giving basic advice on a stakeholder product;*
- *agreeing to carry on a regulated activity which is within any of the above.*

In relation to any of the following:

- *long term insurance contracts (including pure protection contracts);*
- *rights under a stakeholder pension scheme or a personal pension scheme.*

NOTE: Pure Protection business falls within the life & pensions intermediation class (SC02). Pure Protection **does not** fall within FSCS Class SB02.

Tariff base – SC02 – annual eligible income

You must give the [annual eligible income](#) for life and pensions mediation for your financial year that ended in 2016. (**including pure protection business**)

For more information on this, including which regulated activities place firms into the above class, please refer to the Fees manual ([FEES](#)) of the Handbook

You can find guidance on FSCS product allocation to mediation class in our policy statement [PS08/11](#) - annex 2.

Please note the criteria has changed for classifying the size of small business relating to [COMP 4.2.2R](#)

Relevant Links

<http://www.legislation.gov.uk/ukpga/2006/46/section/382>

http://www.legislation.gov.uk/uksi/2015/980/pdfs/uksi_20150980_en.pdf

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FSCS exemptions

If you have a valid FSCS exemption it will be noted on the tariff data form and you will not need to submit any data. Is your firm exempt from the FSCS? To complete the exemption form, see the [FSCS/FOS](#) pages of our website.

Notes

- When completing the tariff data form, give all monetary values in British £.
- Submit all monetary data for your financial year ended in 2016.
- Do not leave blanks (enter NIL on the paper form or alternatively '0' when submitting on line where applicable)

- You can notify us of FSCS/FOS exemptions using the forms available our [website](#). If you want the exemption to be effective for 2017/18, you must make sure we receive the exemption form by **31 March 2017**. We will not exempt a firm from FSCS levies for any notifications received after this date for the 2017/18 fee year. If you are currently exempt, you do not need to reapply as the exemption will continue. If you are exempt from the FSCS you will still pay a levy towards FSCS base costs.
- If you need more help or information on completing your FSCS data, call us on 0300 500 0597 and speak to your supervisor, or email us at feetariffreturns@fca.org.uk
- Make sure your fee tariff data form has been signed off at the appropriate level of authority (e.g. by a compliance director) and dated before you submit it to us.

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FEES AND LEVIES 2017/18– REQUEST FOR FEE TARIFF DATA

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