

## **FSCS Class SB02 – General Insurance Intermediation**

### **Legal Basis**

- *dealing in investments as agent;*
- *arranging(bringing about) deals in investments;*
- *making arrangements with a view to transactions in investments;*
- *assisting in the administration and performance of a contract of insurance;*
- *advising on investments;*
- *agreeing to carry on a regulated activity which is within any of the above.*

### **Tariff Base - SB02 - Annual Eligible Income**

You must give the *annual eligible income* for general insurance mediation for your financial year that ended in 2016. Annual income should be calculated in the same way as for FCA fee-block A19 in **Part 2** of [FEES 4 Annex 1A](#) **excluding** annual eligible income for pure protection business.

**Note: Pure protection business falls within the life & pensions intermediation class (SC02). Please refer to SC02 guidance when completing fee tariff data for this class.**

We will use this data to calculate your FSCS levy for your general insurance intermediation business. You may tailor the annual income you report for FSCS class SB02. This means we base your levy for the SB02 class on annual income from business done with people or organisations eligible to use the scheme. '*Annual Eligible Income*' refers to business conducted with or for the benefit of eligible claimants and is otherwise attributable to compensable business or includes all such annual income. An '*eligible claimant*' is a person who is eligible to claim for compensation under <http://fshandbook.info/FS/html/handbook/COMP/4/2>

*'Annual Eligible Income'*

*Eligible claimant*

For more information on this, including which regulated activities place firms into the above sub-class, please refer to the Fees manual (FEES) of the Handbook <https://www.handbook.fca.org.uk/handbook/FEES/>

It may benefit you to report tailored income if there is a material difference between your total annual income and eligible income.

### **FSCS Exemptions**

e-mail: <a href="mailto:feetariffreturns@fca.org.uk">feetariffreturns@fca.org.uk</a>	Tel: 0300 500 0597	Mail: FCA - Revenue Dept. Tariff Data Collection 25 The North Colonnade Canary Wharf London E14 5HS
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## FEES AND LEVIES 2017/18 - REQUEST FOR FEE TARIFF DATA

If you have a valid FSCS exemption, it will be noted on the tariff data form and you do not need to submit any data. If you want to submit an FSCS exemption form, you can find them on the FSCS page of our website:

FSCS <https://www.fca.org.uk/firms/fees/report-fee-tariff-data>

### Notes

- When completing the tariff data form, you should give all monetary values in GBP.
- You should submit all monetary data for your financial year end 2016.
- Do not leave blanks (please enter NIL on the paper form or alternatively '0' when submitting on line where applicable)
- You can notify us of FSCS/FOS exemptions using the forms available from the above FSCS/FOS page of our website. If you want the exemption to be effective for 2017/18, you must make sure we receive the exemption form by **31 March 2017**, we will not exempt a firm from FSCS levies for any notifications received after this date for the 2017/18 fee year. If you are currently exempt, you do not need to reapply as the exemption will continue. If you are exempt from the FSCS you will still pay a levy towards FSCS base costs.
- If you need more help or information on completing your FSCS data, you can call us on 0300 500 0597 and speak to your supervisor, or you can email us at [feetariffreturns@fca.org.uk](mailto:feetariffreturns@fca.org.uk)
- Make sure your fee tariff data form has been signed off at the appropriate level of authority (e.g. by a compliance director) and dated before you submit it to us.

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