

Fee tariff data

Regulatory fees and levies 2019/2020

FSCS class 4 category 1 - Home finance intermediation (CLHFI-1)

(data previously reported for FSCS class SE02 - home finance intermediation)

Tariff base	Annual eligible income
Validation date	Financial year ending in 2018

We need information from you to calculate your levies for the Financial Services Compensation Scheme (FSCS).

This guidance sheet provides details on the data to include within your fee tariff data submission. We have given links to relevant parts of the Handbook to help you complete the form correctly.

In 2016 the FCA commenced a review on the funding arrangements of FSCS and consulted on proposals for several policy changes. The changes included the introduction of new funding classes and categories, which will become effective from 1 April 2019 and can be found in [FEES 6 annex 3A](#) of our handbook.

Your firm is now allocated to FSCS class 4 category 1, home finance intermediation (GLHFI-1), which replaces FSCS class SE02. The levy for this category continues to be based on annual eligible income and is the same definition as the data you previously reported.

Please see [latest publications](#) about fees and levies on our website.

FEES AND LEVIES 2019/20– REQUEST FOR FEE TARIFF DATA

Legal basis for firms belonging to class 4 category 1 (CLHFI-1): firms with permission for:

- arranging (bringing about) a home finance transaction;
- making arrangements with a view to a home finance transaction;
- advising on home finance transactions;
- the activities of a home finance provider which would be arranging but for article 28A of the Regulated Activities Order (Arranging contracts or plans to which the arranger is party)

Tariff base for class 4 category 1 (CLHFI-1): annual eligible income for your financial year ended in 2018

The calculation of annual eligible income for this category is set out in [FEES 6 annex 3A](#) of our handbook. [FEES 6 Annex 4](#) provides guidance on the calculation.

Annual eligible income for 2019/20 levies is defined as:

A firm must calculate annual eligible income in one of the following ways:

- (a) only include such annual income if it is attributable to business in respect of which the FSCS may pay compensation; or
- (b) include all such annual income

You can find out who is eligible to bring a claim for compensation in [COMP 4.2.1 R](#).

IMPORTANT

Firms registered for online invoicing must submit the data on line; any completed paper forms should be emailed to feetariffreturns@fca.org.uk before the date shown on the front of your fee tariff data letter.

Before submission please ensure:

- Tariff data has been completed in whole numbers and are in GBP
- You have completed all sections
- Do not leave blanks (enter '0' when submitting on line or 'NIL' on the paper form, where applicable)
- The tariff data has been signed off at the appropriate level of seniority, e.g. compliance director, before submitting to us

If you need more help or information on completing your FSCS data, email us at feetriffreturns@fca.org.uk

e-mail: feetariffreturns@fca.org.uk	Tel: 0300 500 0597	Mail: FCA - Revenue Dept. Tariff Data Collection 12 Endeavour Square London E20 1JN
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