

# Fee tariff data

Regulatory fees and levies 2020/2021

## Claims management companies

### Fee-blocks CMC01 and I024

<b>FCA</b>	<b>Tariff base</b>	<b>Annual turnover – claims management activities</b>
<b>FOS</b>	<b>Tariff base</b>	<b>Annual turnover – claims management activities</b>
<b>FCA/FOS</b>	<b>Valuation date</b>	<b>For financial year ended in the calendar year ending 31 December 2019</b>

We need fees and levies information to calculate your fees for the Financial Conduct Authority (FCA) and the Financial Ombudsman Service (FOS). This guidance sheet provides details on the data to include within the firm's fee tariff data submission. We have given links to relevant parts of our Handbook to help you complete the form correctly. This sheet covers the following fee-blocks:

- **FCA fee-block CMC01**
- **FOS industry block I024**

For more information on this, including which regulated activities place firms into the above fee-blocks, please refer to the fees manual ([FEES](#)).

Each year we consult on any proposed fee policy changes and fee tariff bases may be subject to change. So before submitting the fee tariff data, you should also refer to the [latest publications](#) about fees on our website. These explain any proposed changes to the tariff data you must report, and the expected date when any changes will be implemented.

For further information on fees and levies, visit our [fees webpages](#).

## FEES AND LEVIES 2020/21– REQUEST FOR FEE-TARIFF DATA

### FCA fee-block: CMC01 – claims management companies

#### Tariff base: annual turnover for your financial year ended in 2019

Annual income is defined in [FEES 4 Annex 11AR](#); guidance is set out in [FEES 4 Annex 13G](#)

### FOS Industry Block: I024 – claims management companies

#### Tariff Base – annual turnover for your financial year ended in 2019

The FOS levy is based on business done with consumers and which is subject to the jurisdiction of the Financial Ombudsman Service (see [DISP 2.3](#)). **Firms should only include income in relation to consumers.**

New firms that do not have a 2019 financial year end or have not traded for a complete financial year must annualise their turnover figure as if the firm had been trading for 12 months. **Example:** For a firm that has been trading for 2 months during 2019 with an actual turnover of £2,000, i.e. an average income of £1,000 per month, the 'annualised' figure that the firm should report is  $£1,000 \times 12 = £12,000$

### IMPORTANT

**Firms registered for online invoicing must submit the data on line; any completed paper forms should be emailed to [feetariffreturns@fca.org.uk](mailto:feetariffreturns@fca.org.uk) before the date shown on the front of your fee tariff data letter.**

#### Before submission please ensure:

- FCA and FOS tariff data has been completed in whole numbers and are in GBP
- You have completed all sections
- Do not leave blanks (enter '0' when submitting on line or 'NIL' on the paper form, where applicable)
- The tariff data has been signed off at the appropriate level of seniority, for example the compliance director, before submitting to us

e-mail: <a href="mailto:feetariffreturns@fca.org.uk">feetariffreturns@fca.org.uk</a>	Tel: 0300 500 0597	Mail: FCA - Revenue Dept. Tariff Data Collection 12 Endeavour Square London E20 1JN
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